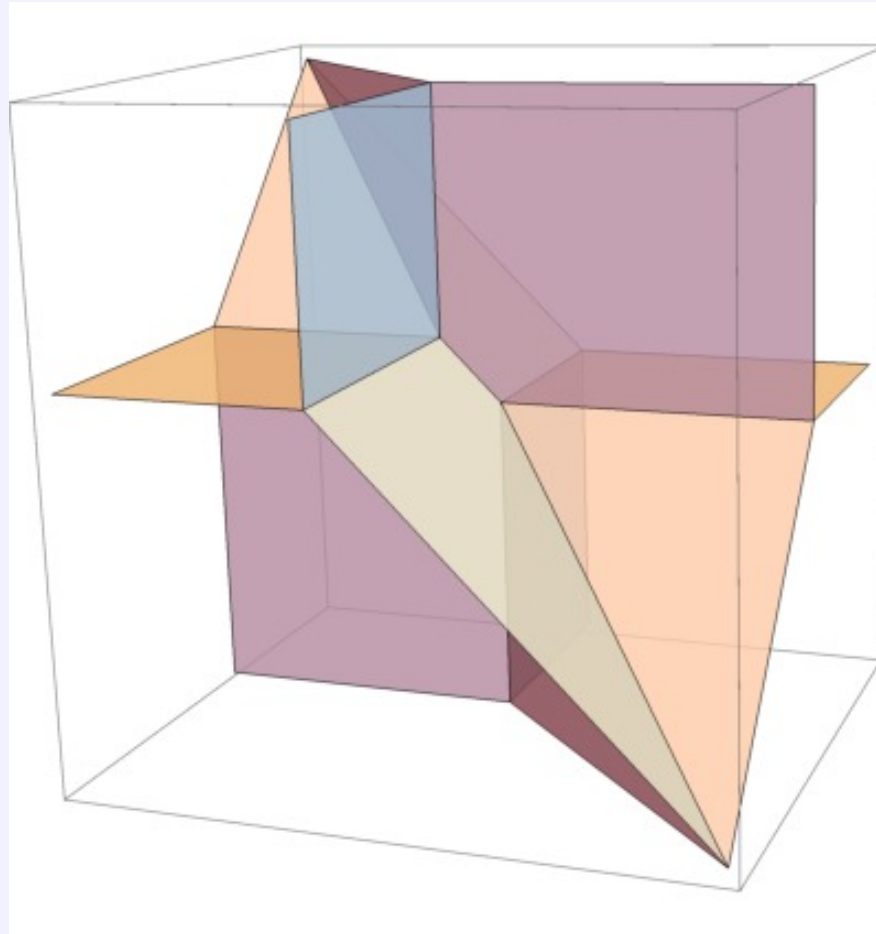


# Tropical aspects of algebraic matroids

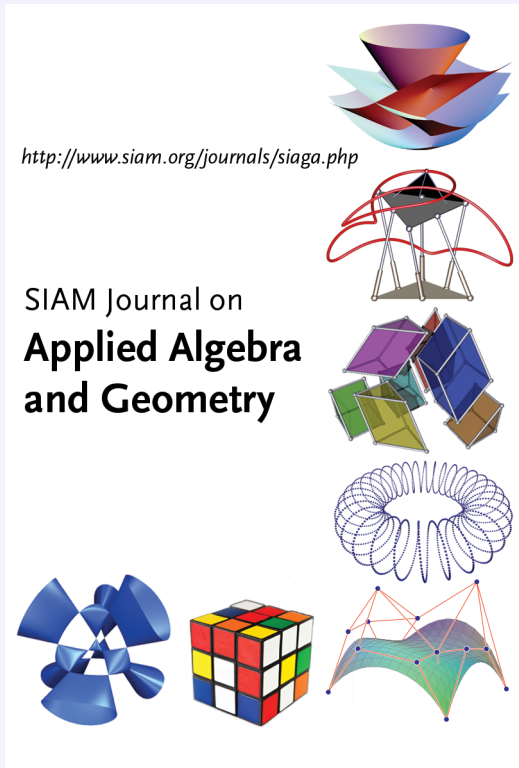


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(w/ Rudi Pendavingh and Guus Bollen      TU/e)

# Two advertisements

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## SIAGA:

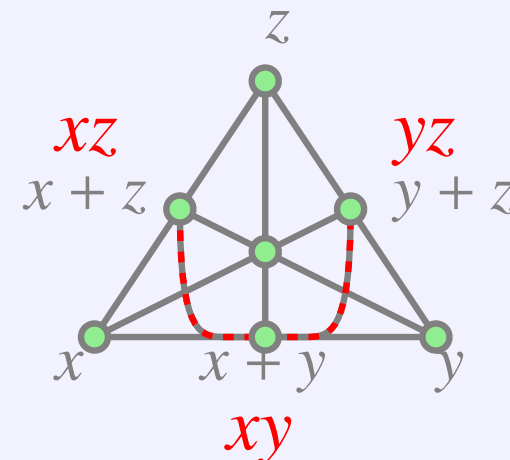


**SIAM AG 19: 9–13 July 2019, Bern**

$K$  algebraically closed,  $X \subseteq K^E$  irreducible

$\rightsquigarrow$  algebraic matroid  $M(X)$  on  $E$ :

$I \subseteq E$  independent  $:\Leftrightarrow X \rightarrow K^I$  dominant



**Example (Fano and non-Fano):**  $K = \overline{\mathbb{F}_2}$ ,

$X = \text{im}[K^3 \rightarrow K^7, (x, y, z) \mapsto (x, y, z, \overline{y+z}, \overline{x+z}, \overline{x+y}, \overline{x+y+z})]$

$yz \quad xz \quad xy \quad xyz$

*{algebraic matroids} is closed under deletion and contraction*

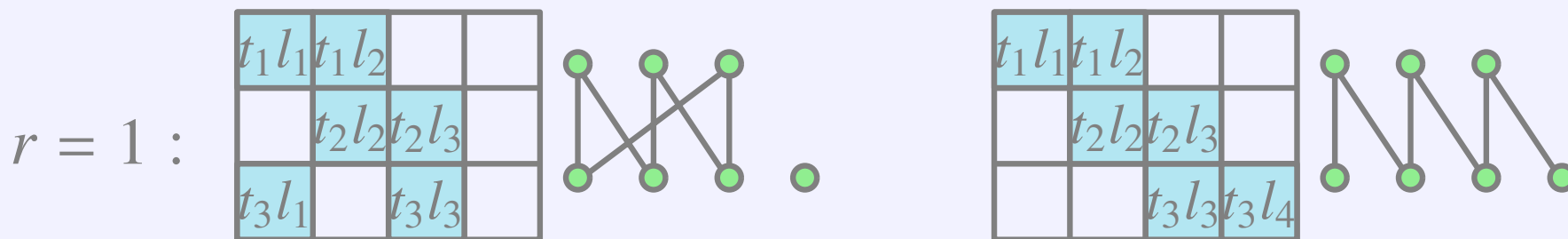
## BIG OPEN QUESTIONS:

- is algebraic realisability decidable?
- is the class closed under duality?
- how many algebraic matroids are there?

**General observation:**  $I$  independent  $\Leftrightarrow \text{Trop}(X) \rightarrow \mathbb{R}^I$  surjective.

$$X_{m,n,r} = \{\text{matrices of rank } \leq r\} \subseteq K^{m \times n}$$

$I \subseteq [m] \times [n]$  independent  $\Leftrightarrow$  a general partial  $I$ -matrix  $/K$  can be completed to a rank- $\leq r$ -matrix.



**Open:** can independence in  $M(X_{m,n,r})$  be tested in poly time?

**Theorem (Daniel Bernstein):** For  $r = 2$ ,  $I$  is independent iff  $I$  has an acyclic orientation without alternating cycles.

Proof uses  $\text{Trop}(\text{Gr}_{2,m+n}) \subseteq \text{Trop}(\{\text{skew-symmetric matrices}\})$ .

## Lemma (Ingleton)

If  $\text{char} K = 0$ , then  $\{\text{matroids algebraic}/K\} = \{\text{matroids linear}/K\}$ .

**Proof:** For general  $v \in X$ ,  $M(T_v X) = M(X)$ .

$\rightsquigarrow$  answers in char 0: yes, yes, 0 percent (Nelson, 2017).

*Does not work in char  $p > 0$ :*

## Example

$X = \{(t, t^p) \mid t \in K\}$ ,  $M(X)$  has bases  $\{1\}, \{2\}$

but  $T_v X = \langle (1, 0) \rangle$  so  $M(T_v X)$  only has basis  $\{1\}$ .

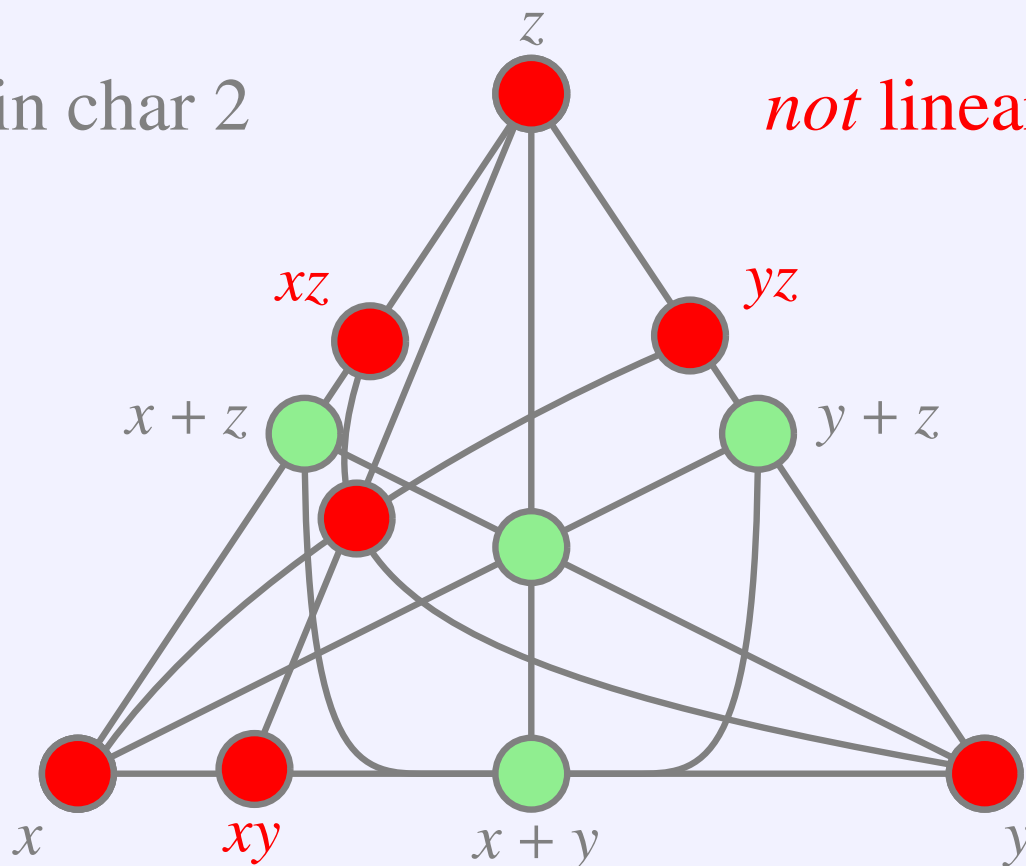
**Way out (Lindström):** replace  $X$  by  $\{(x_1^p, x_2) \mid x \in X\} = Y = \{(t, t) \mid t \in K\}$  and  $T_v Y = \langle (1, 1) \rangle$  with  $M(T_v Y) = M(Y) = M(X)$ .

# An algebraic but nonlinear matroid

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*only* linear in char 2

*not* linear in char 2



$\rightsquigarrow M(X)$  is a nonlinear but algebraic matroid

$\rightsquigarrow$  cannot find a  $Y \subseteq K^{10}$  with  $v \in Y$  such that  $M(T_v Y) = M(X)$

**Way out:** *Frobenius flocks!*

$F : a \mapsto a^p$  the Frobenius automorphism  
 $\mathbb{Z}^E$  acts on  $K^E$  via  $\alpha v := (F^{-\alpha_i} v_i)_{i \in E}$  by Zariski-homeomorphisms

For  $X \subseteq K^E$  and  $\alpha \in \mathbb{Z}^E$  have  $M(X) = M(\alpha X)$ .

## Theorem (Bollen–Draisma–Pendavingh)

For general  $v \in X$ , the map  $V : \mathbb{Z}^E \rightarrow \text{Gr}(d, K^E)$ ,  $V(\alpha) = T_{\alpha v} \alpha X$  has the following properties:

$$\text{(FF1)} \quad V_\alpha \cap e_i^\perp = \text{diag}(1, \dots, 1, 0, 1, \dots, 1) V_{\alpha+e_i}$$

$$\text{(FF2)} \quad V_{\alpha+\mathbf{1}} = \mathbf{1} V_\alpha$$

and moreover  $\text{Bases}(M(X)) = \bigcup_\alpha \text{Bases}(M(V(\alpha)))$ .

## Definition

A map  $V$  satisfying (FF1), (FF2) is called a *Frobenius flock*.

# Example 1

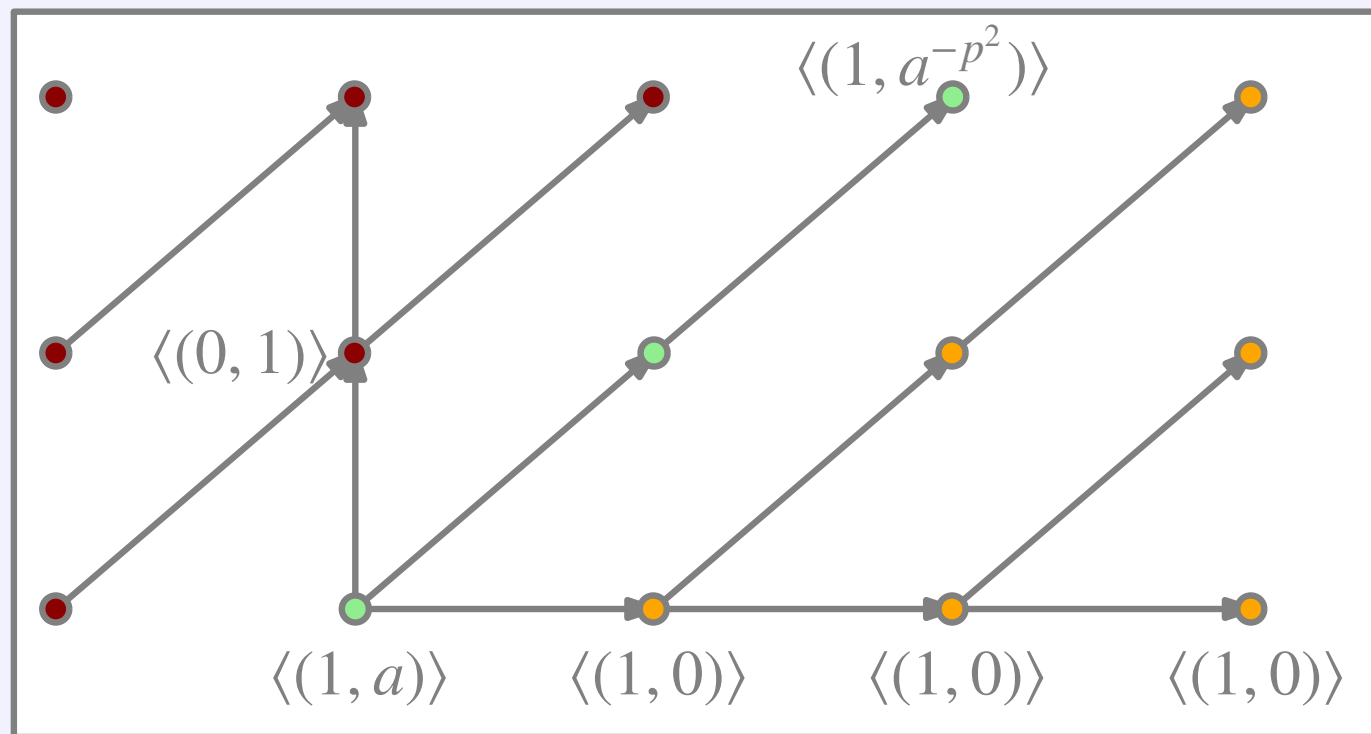
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$$(FF1) V_\alpha \cap e_i^\perp = \text{diag}(1, \dots, 1, 0, 1, \dots, 1) V_{\alpha+e_i} \quad (FF2) V_{\alpha+1} = \mathbf{1} V_\alpha$$

$$(X=) V_0 = \langle (1, a) \rangle, a \neq 0$$

$$V_0 \cap e_1^\perp = \{(0, 0)\} = \text{diag}(0, 1) V_{e_1} \rightsquigarrow V_{e_1} = \langle (1, 0) \rangle$$

FF2 yields:





## Example 2

$$X = \{(x, y, x + y, x + y^{(p^g)}) \mid (x, y) \in K^2\} \subseteq K^4, g > 1, M(X) = U_{2,4}$$

$$T_0X = \text{row space of } \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}, \text{ so } 1, 4 \text{ parallel in } M(T_0X).$$

$$(-e_2 - e_3)X = \{(x, y, x^p + y, x + y^{(p^{g-1})}) \mid (x, y) \in K^2\}$$

$$T_0(-e_2 - e_3)X = \text{row space of } \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}; \text{ also } 2, 3 \text{ parallel.}$$

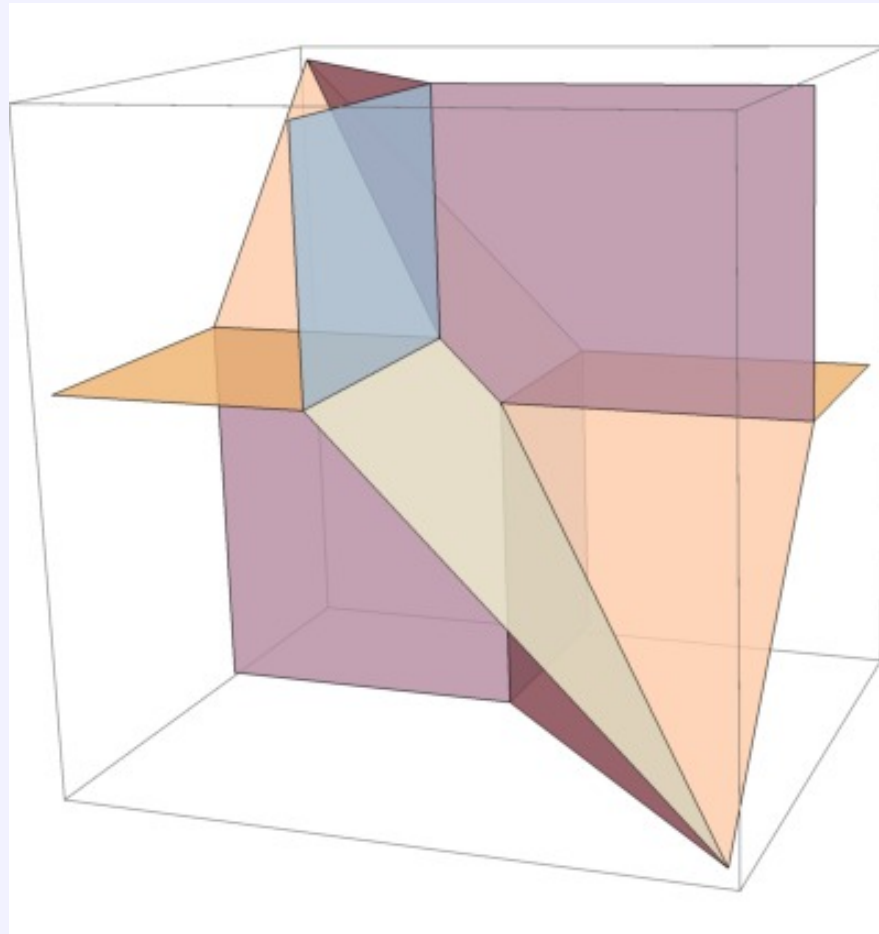
$$(-ge_2 - ge_3)X = \{(x, y, x^{(p^g)} + y, x + y) \mid (x, y) \in K^2\}$$

$$T_0(-ge_2 - ge_3)X = \text{row space of } \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix}; 1, 4 \text{ indep.}$$

## Example 2, continued

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Cells where  $M(T_{\alpha x}(\alpha X))$  is constant:



These cells are *alcoved polytopes*: max-plus and min-plus closed.

## Definition (Dress-Wenzel)

A *matroid valuation* is a map  $\nu : \{d\text{-sets in } E\} \rightarrow \mathbb{R} \cup \{\infty\}$  such that  $\nu(B) \neq \infty$  for some  $B$  and  $\forall B, B', i \in B \setminus B' \exists j \in B' \setminus B : \nu(B) + \nu(B') \geq \nu(B - i + j) + \nu(B' + i - j)$ .

( $\nu$  then lies in the *Dressian* and defines a tropical linear space)

## Observations

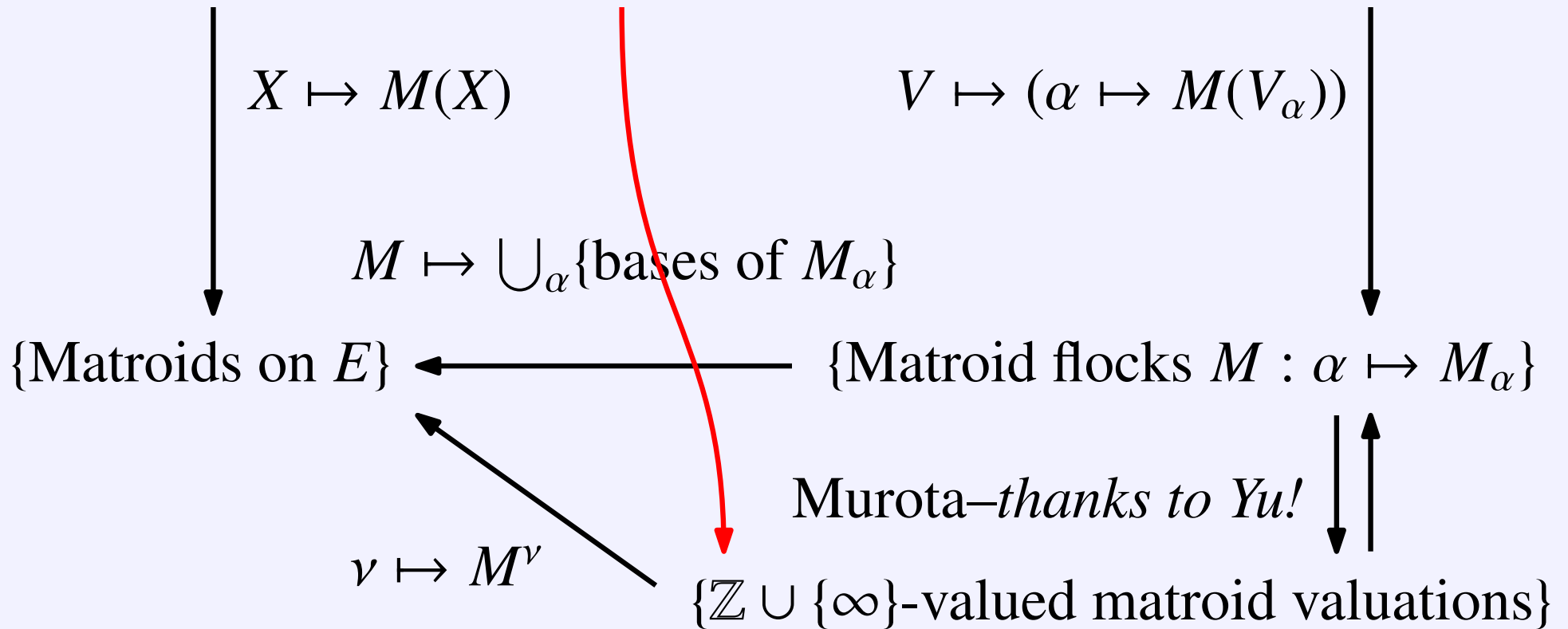
$\nu \rightsquigarrow$  two matroids:  $M^\nu := \{B \mid \nu(B) < \infty\}$  and  $\{B \mid \nu(B) \text{ minimal}\}$ ; and  $\nu'(B) := \nu(B) - \alpha \cdot e_B$  is a valuation for each  $\alpha \in \mathbb{R}^E$ .

## Theorem (Bollen-Draisma-Pendavingh)

Given a  $\mathbb{Z} \cup \{\infty\}$ -valued  $\nu$ , set  $M_\alpha^\nu := \{B \mid \nu(B) - \alpha \cdot e_B \text{ minimal}\}$  for each  $\alpha \in \mathbb{Z}^E$ . This satisfies matroid analogues of FF1, FF2. Conversely, each such *matroid flock* arises in this manner.

$$(X, \nu) \mapsto (\alpha \mapsto T_{\alpha\nu}\alpha X)$$

$$\{\text{algebraic varieties } X \subseteq K^E\} \longrightarrow \{\text{Frobenius flocks } V : \alpha \mapsto V_\alpha\}$$



So to a  $d$ -dimensional algebraic variety  $X \subseteq K^E$  in char  $p$  we associate the *Lindstrom valuation*  $\nu^X : \{d\text{-subsets of } E\} \rightarrow \mathbb{Z} \cup \{\infty\}$ .

**Cartwright found a direct construction of  $\nu^X$ .**

$\varphi : (K^*)^d \rightarrow (K^*)^n$  monomial map,  $\varphi(t) = (t^{Ae_1}, t^{Ae_2}, \dots, t^{Ae_n})$ ,  
where  $A \in \mathbb{Z}^{d \times n}$ . Set  $X := \overline{\text{im}\varphi}$ .

## Theorem:

$\nu^X$  sends  $B \subseteq [n], |B| = d$  to the  $p$ -adic valuation of  $\det A[B]$ .

Generalises?  $G$  a 1-dimensional algebraic group defined over  $\mathbb{F}_p$ .

$E := \text{End}(G)$  has  $F \in E$ .

$A \in E^{d \times n} \rightsquigarrow$  a  $d$ -dimensional subgroup  $X \subseteq G^n$

## Theorem (I think):

$\nu_X(B)$  = number of factors  $F$  in the Smith normal form of  $A$ .

## Definition (Dress-Wenzel)

A matroid  $M$  is *rigid* if every valuation  $\nu$  with  $M^\nu = M$  is of the form  $M \rightarrow \mathbb{R}$ ,  $B \mapsto \alpha \cdot e_B$  for some  $\alpha \in \mathbb{R}^E$ .

## Theorem

A rigid matroid is algebraically representable over an algebraically closed field  $K$  of positive characteristic if and only if it is linearly representable over  $K$ .

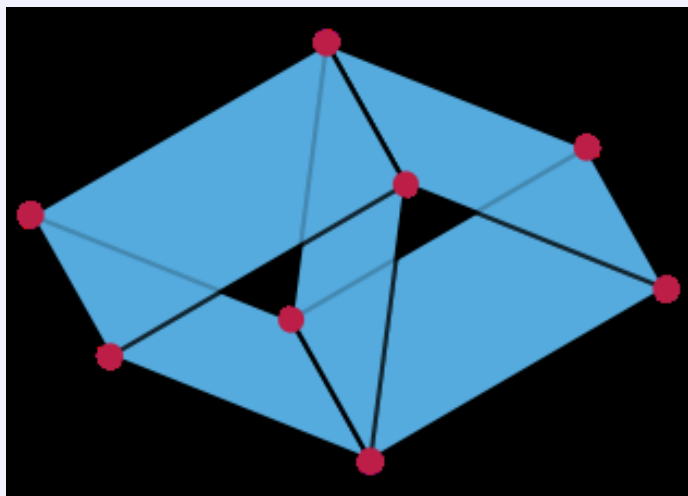
## Proof

If  $X$  is an algebraic representation, then the Lindström valuation  $\nu^X : M(X) \rightarrow \mathbb{Z}$  sends  $B \mapsto \alpha \cdot e_B$  for some  $\alpha \in \mathbb{Z}^E$ . Then  $M_\alpha^\nu = M^\nu$ . Now  $M(X) = M(T_{\alpha x} \alpha X)$  for  $x \in X$  general.  $\square$

*Applies to projective planes over finite fields!*

Frobenius flocks ...

- have deletion/contraction
- are *almost* preserved under duality (replace  $F$  by  $F^{-1}$ )
- allow for circuit hyperplane relaxations
- so Vamos is Frobenius flock realisable (and many more!):



arXiv:1701.06384 (Adv. Math. 323, 2018)

Thank you!