

# AN INTRODUCTION TO TROPICAL GEOMETRY

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## 1. TROPICAL NUMBERS AND VALUATIONS

**Tropical numbers.**

- $\mathbb{R}_\infty := \mathbb{R} \cup \{\infty\}$  *tropical numbers*, equipped with two operations: *tropical addition* “ $a + b$ ” =  $\min\{a, b\}$  and *tropical multiplication* “ $ab$ ” =  $a + b$
- commutative, associative
- “ $a(b + c)$ ” = “ $ab + ac$ ” for all  $a, b \in \mathbb{R}_\infty$
- neutral elements:  $\infty$  for tropical addition, 0 for tropical multiplication; note: *we do NOT write* “1” = 0 *or* “0” =  $\infty$ .
- “ $(a + b)^n$ ” = “ $a^n + b^n$ ” for all  $a, b \in \mathbb{R}_\infty$
- note that “ $x + (-0.5)$ ”  $\neq$  “ $x - 0.5$ ”—in fact, the latter expression has no meaning. Note that we do not write “ $1/2$ ”—if anything, that would be the real number  $-1$ .
- tropical matrix multiplication: replace  $+$  by  $\min$  and  $\cdot$  by  $+$ .

**Exercise 1.1.** Define the term (*tropical*) *eigenvector* and the corresponding *eigenvalue* for tropical matrices  $A \in \mathbb{R}_\infty^{n \times n}$ . Show that an eigenspace is closed under (componentwise) tropical addition and under tropical scalar multiplication. Draw the eigenspaces of each of the following matrices in  $\mathbb{R}_\infty^2$ :

$$A = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \quad B = \begin{bmatrix} 1 & 2 \\ 3 & 6 \end{bmatrix}, \quad \text{and} \quad C = \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix}.$$

**Tropical polynomials.**

- *tropical polynomials* “ $\sum_{i=0}^d a_i x^i$ ”, can be tropically added and multiplied
- defines a piecewise linear function, concave function  $\mathbb{R}_\infty \rightarrow \mathbb{R}_\infty$  with integral slopes
- cannot be reconstructed from that function

**Theorem 1.2.** *For every piecewise linear, concave function  $f : \mathbb{R}_\infty \rightarrow \mathbb{R}_\infty$  with integral slopes that is not identically  $\infty$ , there exist unique  $d \in \mathbb{N}$  and unique  $x_1, \dots, x_d \in \mathbb{R}_\infty$  (up to permutation) and unique  $c \in \mathbb{R}$  such that  $f(x) = “c(x + x_1) \cdots (x + x_d)”$  for all  $x \in \mathbb{R}_\infty$ .*

The numbers  $x_i$  are called the *roots* of  $f$ . The finite roots are found as follows: take the positions  $x \in \mathbb{R}$  where  $f$  is non-linear. Then the slope of  $f$  decreases by a positive integer  $m$ . Then  $x$  is a root of multiplicity  $m$ . Similarly, the multiplicity of  $\infty$  is the (constant) slope of  $f$  at  $x \gg 0$ . Finally, the coefficient  $c$  is determined by specialising at a suitable value.

**Fields with a valuation.**

- $K$  a field
- *valuation*  $v : K \rightarrow \mathbb{R}_\infty$  satisfying  $v(ab) = v(a) + v(b)$  (= “ $v(a)v(b)$ ”) and  $v(a + b) \geq \min\{v(a), v(b)\}$  (= “ $v(a) + v(b)$ ”) and  $v^{-1}(\infty) = \{0\}$
- easy but important facts:  $v(1) = 0$  and  $v(-a) = v(a)$  and  $v(a + b) = v(a)$  if  $v(a) < v(b)$ . (For the latter, write  $v(a) = v((a+b) - b) \geq \min\{v(a+b), v(b)\}$ , so  $v(a) \geq v(a + b)$  since  $v(a) < v(b)$ .)
- examples:  $\mathbb{Q}$  with  $p$ -adic valuation,  $\mathbb{C}(t)$  (rational functions) or  $\mathbb{C}((t))$  (Laurent series) with  $t$ -adic valuations
- fundamental fact: valuation can be extended to any field extension; discuss completion and algebraic closure

**Definition 1.3.** The *tropicalisation* of  $f = \sum_{i=0}^d c_i x^i \in K[x]$  is  $\text{Trop}(f) := \sum_{i=0}^d v(c_i) x^i$ .

**Lemma 1.4** (Gauss's lemma). *For  $f, g \in K[x]$  we have  $\text{Trop}(fg)(a) = \text{Trop}(f)(a) + \text{Trop}(g)(a)$  for all  $a \in \mathbb{R}_\infty$ .*

**Exercise 1.5.** The preceding lemma concerns the *functions* defined by  $\text{Trop}(fg)$ ,  $\text{Trop}(f)$ , and  $\text{Trop}(g)$ . Find a concrete example of polynomials  $f, g$ , say over the field  $\mathbb{C}(t)$ , where  $\text{Trop}(fg)$  is *not* equal to " $\text{Trop}(f) + \text{Trop}(g)$ " as *polynomials*.

**Theorem 1.6** (Newton? Puiseux?). *For  $f \in K[x]$  with all roots in  $K$  we have*

$$v(\{\text{roots of } f\}) = \{\text{roots of } \text{Trop}(f)\}.$$

**Exercise 1.7.** Using the previous theorem and the 2-adic valuation on  $\mathbb{Q}$ , give a "tropical proof" of the non-rationality of  $\sqrt{2}$ .

**Exercise 1.8.** Eisenstein's criterion says that if a polynomial  $f = c_d x^d + \dots + c_0 \in \mathbb{Z}[x]$  satisfies  $p \nmid c_d$ ,  $p \mid c_{d-1}, \dots, c_0$ , and  $p^2 \nmid c_0$ , then  $f$  is irreducible over the rational numbers. Take  $v$  equal to the  $p$ -adic valuation on  $\mathbb{Q}$ . Prove that, in this case,  $\text{Trop}(f)$  has a  $d$ -fold root  $1/d$ , prove that the function defined by  $\text{Trop}(f)$  is "irreducible", and argue that this implies Eisenstein's criterion.

## 2. TROPICALISING VARIETIES

**Tropical hypersurfaces.**

**Definition 2.1.** A tropical multivariate polynomial  $f = \sum_{\alpha \in \mathbb{N}^n} c_\alpha x^\alpha$  defines a piecewise linear map  $f : \mathbb{R}_\infty^n \rightarrow \mathbb{R}_\infty$ . Define

$$\mathcal{T}(f) := \{x \in \mathbb{R}_\infty^n \mid f \text{ is infinite or else not linear at } x\},$$

the *tropical hypersurface* defined by  $f$  (or *corner locus* of  $f$ ).

**Exercise 2.2.** Prove that  $\mathcal{T}(f)$  consists of all points where either  $f$  takes the value  $\infty$  or else there are at least two distinct  $\alpha, \beta$  with  $c_\alpha + \alpha \cdot x = c_\beta + \beta \cdot x$ , i.e., where *the minimum is attained at least twice*.

**Example 2.3.**  $f = "x_{11}x_{22} + x_{12}x_{21} + 0" = \text{Trop}(\det -1)$ . Note:  $\mathcal{T}(f) \subseteq \mathbb{R}_\infty^{2 \times 2}$  is closed under tropical matrix multiplication. "Tropical  $\text{SL}_2$ ."

**Tropicalising varieties.** Recall:  $K$  field with valuation  $v$  (perhaps trivial). We assume  $K$  *algebraically closed*.

**Definition 2.4.** For an algebraic variety  $X \subseteq K^n$  defined by ideal  $I \subseteq K[x_1, \dots, x_n]$  define

$$\text{Trop}(X) := \bigcap_{f \in I} \mathcal{T}(\text{Trop}(f)),$$

the *tropicalisation* of  $X$ .

**Remark 2.5.**  $\text{Trop}(X)$  doesn't depend on the ideal  $I$  chosen to define  $X$ : take  $J = \sqrt{I}$ . Since  $J$  contains  $I$ , the tropicalisation of  $X$  using  $J$  is contained in that using  $I$ . But since every  $f \in J$  has a power  $f^k$  in  $I$  (Hilbert's Nullstellensatz), and since  $\mathcal{T}(\text{Trop}(f)) = \mathcal{T}(\text{Trop}(f^k))$ , we also have the opposite inclusion.

**Exercise 2.6.** Prove that if  $I$  is generated by a single element  $f$ , then  $\text{Trop}(X)$  is just  $\mathcal{T}(\text{Trop}(f))$ . (Use Gauss's lemma.)

**Example 2.7.**  $X = \text{O}_2(\mathbb{C}) = \{x \in \mathbb{C}^{2 \times 2} \mid x^T x = I\}$ , say over  $\mathbb{C}$  with trivial valuation. So  $X$  is defined by the vanishing of  $f_1 := a^2 + c^2 - 1$  and  $f_2 := b^2 + d^2 - 1$  and  $f_3 := ab + cd$ . Tropicalising  $f_3$  yields that  $a + b = c + d$  holds on  $\text{Trop}(X)$ . Tropicalising  $f_1$  yields that  $\min\{2a, 2c, 0\}$  is attained (at least) twice, which gives  $\min\{a, c, 0\}$  attained twice. Similarly,  $f_2$  gives  $\min\{b, d, 0\}$  attained twice. All conditions are closed under multiplication with a common positive scalar, so we distinguish three cases:

- (1)  $a = 0$ . Then  $c \geq 0$  by  $f_1$ . If  $c > 0$  then  $b = d + (c - a) = b + c > d$  by  $f_3$  and hence  $d = 0$  by  $f_2$  and hence  $b = c$ . Thus we have the cone

$$C_1 := \left\{ \begin{bmatrix} 0 & c \geq 0 \\ c & 0 \end{bmatrix} \right\},$$

(which includes the case where  $c = \infty$ ).

If  $c = 0$  then  $b = d$  by  $f_3$  and  $b = d \leq 0$  by  $f_2$ . Thus we have the cone

$$C_2 := \left\{ \begin{bmatrix} 0 & b \leq 0 \\ 0 & b \end{bmatrix} \right\}.$$

- (2)  $a > 0$ . Then  $c = 0$  by  $f_1$  and  $a + b = d$  by  $f_3$ , so  $d > b$  so  $b = 0$  by  $f_2$ , and  $d = a$ . This gives the cone  $C_3 = C_1^T$ .

- (3)  $a < 0$ . Then  $c = a$  by  $f_1$  and  $b = d$  by  $f_3$ , so  $b = d \leq 0$  by  $f_2$ . This gives a two-dimensional cone

$$C_4 := \left\{ \begin{bmatrix} a \leq 0 & b \leq 0 \\ a & b \end{bmatrix} \right\}.$$

The union  $C := C_1 \cup C_2 \cup C_3 \cup C_4$  is not stable under transposition, while  $O_2$  is. Using the “transposed equations”  $a^2 + b^2 = 1$ ,  $c^2 + d^2 = 1$ ,  $ac + bd = 0$  for  $O_2$  we find  $C^T := C_1^T \cup C_2^T \cup C_3^T \cup C_4^T$ . So certainly  $\text{Trop}(O_2)$  is contained in the intersection of  $C$  and  $C^T$ . This intersection equals  $C_1 \cup C_3 \cup C_5$ , where

$$C_5 := \left\{ \begin{bmatrix} a \leq 0 & a \\ a & a \end{bmatrix} \right\}$$

is contained in  $C_4$ . Note that  $C_1, C_3, C_5$  all have dimension 1, and that any two of them meet in the all-zero matrix. Is their union equal to  $\text{Trop}(O_2)$ ?

- (1)  $X \subseteq K^n$  variety as before
- (2)  $L$  any valued extension of  $K$ , and  $p = (p_1, \dots, p_n) \in X(L)$ . Then  $v(p) \in \text{Trop}(X)$ : Write  $x_i = v(p_i)$  and take  $f = \sum_{\alpha} c_{\alpha} x^{\alpha} \in I$ . Then  $v(c_{\alpha}) + \alpha \cdot x$  is the valuation of the  $\alpha$ -term in  $f$ . If the minimum of these valuations were finite and attained only once, then  $v(f(p))$  would equal that minimum. But this contradicts  $v(f(p)) = v(0) = \infty$ . Hence the minimum is either infinite or attained at least twice.
- (3) This gives a method of *certifying* that a point lies in  $\text{Trop}(X)$ , by given a *lift* in  $X(L)$  for suitable  $L$ .

**Example 2.8.** In the  $O_2$ -example take a point in  $C_3$  with  $a > 0$  and construct  $L = \mathbb{C}((t))$  with the scaled  $p$ -adic valuation where  $v(t) = a$ . Then the valuation of the orthogonal matrix

$$\begin{bmatrix} \cos(t) & -\sin(t) \\ \sin(t) & \cos(t) \end{bmatrix}$$

equals the prescribed matrix. This shows that  $C_3 \subseteq \text{Trop}(O_2)$ .

**Exercise 2.9.** Find lifts of arbitrary points in  $C_1$  and  $C_5$  in suitable valued extensions of  $\mathbb{C}$ , showing that  $C_1 \cup C_3 \cup C_5$  is really the tropicalisation of  $O_2$ .

**Exercise 2.10.** Prove that  $\text{Trop}(O_2)$  is closed under tropical matrix multiplication.

**Tropical basis theorem.**

**Theorem 2.11.** *There exist finitely many  $f_1, \dots, f_k \in I$  such that  $\text{Trop}(X)$  is the intersection of all  $\mathcal{T}(\text{Trop}(f_i))$ .*

Such a tuple (sometimes required, in addition, to generate the ideal  $I$ ) is called a *tropical basis* of the ideal. These can be *computed* (but not very efficiently).

**Fundamental theorem.**

**Theorem 2.12.**  *$X \subseteq K^n$  algebraic variety,  $K$  algebraically closed. Then for every point  $a$  in  $\text{Trop}(X)$  with coordinates in  $v(K)$  there exists a point  $x = (x_1, \dots, x_n) \in X(K)$  with  $v(x) = a$ .*

**Bieri-Groves's theorem.**

- *polyhedron* in  $\mathbb{R}^n$ : intersection of a finite number of closed half-spaces.
- *dimension* is the dimension of the smallest affine subspace containing it.
- *polyhedron* in  $\mathbb{R}_\infty^n$ : topological closure of a polyhedron in some  $\mathbb{R}^m \times \{\infty\}^{n-m}$  (up to permutation).

**Theorem 2.13.** *Trop(X) can be written as a finite union of polyhedra. If, moreover, X is irreducible of dimension d, then the polyhedra can all be chosen of dimension d.*

**Exercise 2.14.** Show that for varieties  $X, Y \subseteq K^n$  one has  $\text{Trop}(X) \cup \text{Trop}(Y) = \text{Trop}(X \cup Y)$ . Give one proof using the definition of Trop and one proof using the fundamental theorem.

**The fundamental theorem for hypersurfaces.** We follow Payne's proof [5].

- $K$  valued field
- $R := \{c \in K \mid v(c) \geq 0\}$  *valuation ring*
- $M := \{c \in K \mid v(c) > 0\}$  *maximal ideal*
- $k := R/M$  *residue field* (algebraically closed if  $K$  is).
- homomorphisms  $c \mapsto \bar{c}$  from  $R \rightarrow R/M = k$ , extends to polynomials.
- Now  $X \subseteq K^n$  given by a single polynomial  $f$ .
- Given  $a \in \text{Trop}(X) = \mathcal{T}(f)$  with valuation  $v(a) \in v(K)^n$ , want to lift  $a$  to a point  $p \in X(K)$  with valuation  $a$ .
- Easy reduction to case where  $a = (0, \dots, 0)$ , which we assume now, so after dividing  $f$  by the coefficient with smallest valuation have  $f \in R[x]$  with at least two coefficients not in  $M$ .
- Write  $\bar{f} \in k[x]$  for image of  $f$  modulo  $M$ ; this has at least two terms. Hence there is a variable  $x_i$  that appears in  $\bar{f}$  with at least two distinct exponents; wlog  $x_i = x_n$ .
- Write  $f = f_0 + f_1 x_n + \dots + f_d x_n^d + \dots + f_e x_n^e + \dots + f_r x_n^r$  with  $f_0, \dots, f_r \in K[x_1, \dots, x_{n-1}]$  and  $d < e$  and  $\bar{f}_d, \bar{f}_e \neq 0$ .
- Choose a point  $\bar{q}$  in  $(k^*)^{n-1}$  where  $\bar{f}_d, \bar{f}_e$  are non-zero, and lift the point to  $q = (q_1, \dots, q_{n-1}) \in R \setminus M$ .
- Hence  $f_d(q_1, \dots, q_{n-1})$  and  $f_e(q_1, \dots, q_{n-1})$  have valuation zero.
- Set  $g(y) := f(q_1, \dots, q_{n-1}, y) \in R[y]$ , a univariate polynomial. It satisfies:
  - (1)  $\text{Trop}(g)(0) = 0$ .
  - (2)  $\bar{g} = \bar{f}(\bar{q}, y)$  has at least two non-zero terms, and hence a non-zero root in  $k^*$ ; lift this root to  $\tilde{q}_n \in R \setminus M$ .
  - (3)  $v(g(\tilde{q}_n)) > 0$  while  $v(\tilde{q}_n) = 0$ .
- Hence  $\text{Trop}(g)$  has a tropical root at zero.
- But that means that  $g$  has a root  $q_n$  with  $v(q_n) = 0$  (the corollary to Gauss's lemma).
- $(q_1, \dots, q_n)$  is the required root of  $f$  with valuation 0.

Note that  $q_1, \dots, q_{n-1}$  were more or less “freely” chosen (subject to the non-vanishing of  $\bar{f}_d, \bar{f}_e$ ). This can be used to prove that the image under projection on the first  $(n-1)$  coordinates of the fibre  $v^{-1}(0) \cap X = (R \setminus M)^n \cap X$  is Zariski-dense in  $K^{n-1}$ . As a consequence, if  $X$  is irreducible and not contained in any coordinate hyperplane, then  $v^{-1}(a) \cap X$  is Zariski-dense in  $X$  for every  $a \in \text{Trop}(X) \cap \mathbb{R}^n$ . We will use this later on.

**Well-behaved under monomial maps.** The following proposition shows that tropical varieties behave “linearly” under monomial maps.

**Proposition 2.15.**  *$X \subseteq K^n$  algebraic variety, and  $\pi : K^n \rightarrow K^m$  a monomial map, i.e.,  $\pi(x) = (x^{\alpha_1}, \dots, x^{\alpha_m})$  for certain  $\alpha_1, \dots, \alpha_m \in \mathbb{N}^n$ . Let  $A$  be the  $m \times n$ -matrix with rows the  $\alpha_i$ , and let  $Y$  be the closure of  $\pi(X)$ . Then  $\text{Trop}(Y) \supseteq A \cdot \text{Trop}(X)$ , where  $\cdot$  is just matrix-column multiplication.*

Actually, equality holds, but this will follow only later. The proposition can be proved using the fundamental theorem, but we will want to use it to *prove* the fundamental theorem, so we proceed from first principles.

- $I$  an ideal defining  $X$
- $J$  the ideal of all polynomials in  $K[y_1, \dots, y_m]$  that are mapped into  $I$  when each  $y_i$  is replaced by  $x^{\alpha_i}$ .
- Then  $J$  is an ideal defining  $Y$ .
- $u \in \mathbb{R}_{\infty}^n$  be a point in  $\text{Trop}(X)$
- $f = \sum_{\beta} c_{\beta} y^{\beta} \in J$ . We claim that  $\text{Trop}(f)$  is infinite or not linear at  $Au$ ; this will then prove that  $Au$  lies in  $\text{Trop}(Y)$ .
- Replacing  $y_i$  by  $x^{\alpha_i}$  in  $f$  yields the polynomial  $\sum_{\beta} c_{\beta} x^{\beta \cdot A}$  where  $\beta$  is considered a row vector.
- Tropicalising gives the tropical polynomial  $\min_{\beta} (v(c_{\beta}) + \beta \cdot A \cdot x)$ , and by assumption, at  $x = u$ , this minimum is either infinite or else attained at least twice.
- This is equivalent to the statement that  $\text{Trop}(f)$  is infinite or not linear at  $A \cdot x$ .

This proves that  $A \cdot \text{Trop}(X) \subseteq \text{Trop}(Y)$ .

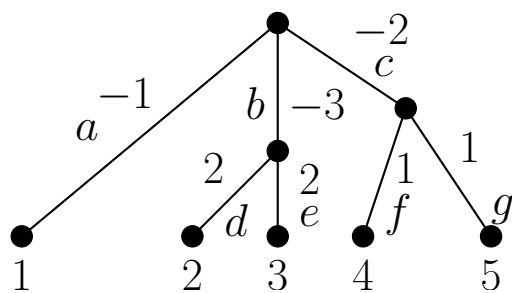
**Exercise 2.16.** Find a  $2 \times 2$ -matrix over the field  $\mathbb{C}(t)$  with determinant 0 and entrywise valuation  $\begin{bmatrix} 1 & 5 \\ -2 & 2 \end{bmatrix}$ .

**Tropical Grassmannian and space of trees.** For this stuff see [6].

- Plücker map

$$K^n \rightarrow K^{\binom{n}{2}}, x = \begin{bmatrix} x_1 & \dots & x_n \\ y_1 & \dots & y_n \end{bmatrix} \mapsto (z_{ij} := x_i y_j - x_j y_i)_{i < j}$$

- Image defined by ideal  $I$  generated by the polynomials (for  $i < j < k < l$ ):  $z_{ij}z_{kl} - z_{ik}z_{jl} + z_{il}z_{jk}$ . This is the (affine cone over the) *Grassmannian*.
- Theorem (Speyer-Sturmfels): these form a *tropical basis*; the *Tropical Grassmannian*.
- How to make tuples  $(z_{ij}) \in \mathbb{R}_{\infty}^{\binom{n}{2}}$  such that for each  $i < j < k < l$  the minimum of  $z_{ij} + z_{kl}$  and  $z_{ik} + z_{jl}$  and  $z_{il} + z_{jk}$  is attained at least twice? (Have to lift such points to the tropical variety.)
- Answer: trees with  $n$  leaves, negative weights on internal edges, and arbitrary weights on leaf edges.
- Theorem (neighbour-joining): for every tuple there is a tree with that tuple as leaf-to-leaf distance matrix.
- Construction: from trees to matrices; first reduce to distance-balanced case.



$$x_1 = at^{-2}$$

$$x_2 = bt^{-2} + dt^4$$

$$x_3 = bt^{-2} + et^4$$

$$x_4 = ct^{-2} + ft^2$$

$$x_5 = ct^{-2} + gt^2$$



## 3. PROOFS OF SOME FUNDAMENTAL RESULTS

$X \subseteq K^n$  algebraic variety defined by an ideal  $I$ .

**Theorem 3.1** (Existence of finite tropical bases). *There exist finitely many  $f_1, \dots, f_k \in I$  such that  $\text{Trop}(X)$  is the intersection of all  $\mathcal{T}(\text{Trop}(f_i))$ .*

**Theorem 3.2** (Fundamental Theorem).  *$X \subseteq K^n$  algebraic variety,  $K$  algebraically closed. Then for every point  $a$  in  $\text{Trop}(X)$  with coordinates in  $v(K)$  there exists a point  $x = (x_1, \dots, x_n) \in X(K)$  with  $v(x) = a$ .*

Done for  $X$  a hypersurface, in fact set of such points is then dense in  $X$ .

**Theorem 3.3** (Bieri-Groves).  *$\text{Trop}(X)$  can be written as a finite union of polyhedra. If, moreover,  $X$  is irreducible of dimension  $d$ , then the polyhedra can all be chosen of dimension  $d$ .*

**Proof of Bieri-Groves's Theorem.** We follow Bieri and Groves [1].

- $X$  irreducible algebraic variety of dimension  $d$  in  $K^n$
- to show:  $\text{Trop}(X)$  is a union of  $d$ -dimensional polyhedra.
- if  $X$  hypersurface with equation  $f$ , then done ( $\text{Trop}(X)$  union of polyhedra dual to the induced subdivision of the Newton polytope of  $f$ ).

**Lemma 3.4.** *If  $A \in \mathbb{N}^{(d+1) \times n}$  such that the induced monomial map  $\pi_A : K^n \rightarrow K^{d+1}$  maps  $X$  onto a hypersurface  $Y$ , then  $\text{Trop}(Y) = A \text{Trop}(X)$ .*

*Proof.* We know  $\text{Trop}(Y) \supseteq A \text{Trop}(X)$ . For the converse, let  $a$  be a point in  $\text{Trop}(Y)$ . Then the set  $\{y \in Y \mid v(y) = a\}$  is non-empty (hypersurface case of fundamental theorem) and in fact Zariski-dense in  $Y$ . Hence it intersects the constructible set  $\pi(X)$  (which contains an open dense subset of  $Y$  by basic algebraic geometry). Hence there is  $x \in X$  be such that  $v(\pi(x)) = a$ . This translates into  $A \cdot v(x) = a$ , while of course  $v(x) \in \text{Trop}(X)$ .  $\square$

Here's the key idea, which we will not prove but which is at least plausible.

**Lemma 3.5** (Regular projection lemma). *If a set  $S$  in  $\mathbb{R}_\infty^n$  has the property that for "generic" matrices  $A \in \mathbb{N}^{(d+1) \times n}$  the image  $A \cdot S$  of  $S$  is a finite union of  $d$ -dimensional polyhedra, then so is  $S$  itself. Moreover, one can find finitely many matrices  $A_1, \dots, A_k$  such that*

$$S = \bigcap_{i=1}^k A_i^{-1}(A_i(S)).$$

**Remark 3.6.** In fact, one can find  $k = n - d + 1$  such matrices, which proves the existence of a tropical basis of that cardinality (at least, if one does not require that a tropical basis generate the ideal).

- " $\pi_A$  maps  $X$  onto a hypersurface" turns out to be sufficiently generic
- for each such  $A$ ,  $A \text{Trop}(X)$  is a finite union of  $d$ -dimensional polyhedra by the hypersurface case of Bieri-Groves
- applying the regular projection lemma yields that so is  $\text{Trop}(X)$ .
- in fact, it yields more: take  $A_1, \dots, A_k$  as in the lemma, and  $f_1(y), \dots, f_k(y)$  the equations of the corresponding hypersurfaces in  $K^{d+1}$ , and let  $g_1, \dots, g_k$

be their pull-backs to  $K[x_1, \dots, x_n]$  under  $\pi_{A_1}, \dots, \pi_{A_k}$ ; these are elements of the ideal of  $X$ . Then the lemma says

$$\text{Trop}(X) = \bigcap_{i=1}^k \mathcal{T}(\text{Trop}(g_i)),$$

so  $g_1, \dots, g_k$  forms a tropical basis.

This latter proof of existence of a finite tropical basis first appeared in print in [4], while the first proof appeared in [2].

**Proof of Fundamental Theorem.** (For an affinoid proof see [3].)

- Fix  $u \in \text{Trop}(X) \cap v(K)^n$ . Want to show that there exists an  $x \in X(K)$  with  $v(x) = u$ .
- Reduce to case where  $X$  is irreducible
- Reduce to case where  $u \in RR^n$ .

**Lemma 3.7.** *Fix a point  $u \in \text{Trop}(X) \cap \mathbb{R}^n$ . Then for “generic”  $A \in \mathbb{N}^{(d+1) \times n}$  such that  $A^{-1}(Au) \cap \text{Trop}(X)$  consists only of  $u$ .*

The proof will make clear what generic means in this case.

*Proof.*      • This uses (only) that  $\text{Trop}(X)$  is the union of finitely many  $d$ -dimensional polyhedra.

- for an affine subspace  $V = v_0 + \langle v_1, \dots, v_d \rangle \subseteq \mathbb{R}^n$  the condition that  $Av = Au$  translates into  $A(u - v_0) \in \langle Av_1, \dots, Av_d \rangle$ , i.e., the determinant of the  $(d+1) \times (d+1)$ -matrix with rows  $A(u - v_0), Av_1, \dots, Av_d$  is zero.
- This gives a non-trivial polynomial equation on  $A$  that should be *avoided*.
- Only finitely many of these need to be avoided.
- $\mathbb{N}^{(d+1) \times n}$  is Zariski-dense in  $\mathbb{R}^{(d+1) \times n}$ , so these conditions are avoided by some (“most”)  $A \in \mathbb{N}^{(d+1) \times n}$ .

□

Now for the proof of the fundamental theorem:

- Pick a matrix  $A \in \mathbb{N}^{(d+1) \times n}$  as in the lemma, and with the additional property that the corresponding monomial map maps  $X$  onto a hypersurface  $Y$  in  $K^{(d+1)}$ . (Intersection of finitely many generic conditions is generic.)
- Pick a point  $y \in \pi_A(X)$  with valuation  $Au$  (see the proof of Lemma 3.4), and take  $x \in X$  with  $\pi_A(x) = y$ .
- Then  $Av(x) = v(y) = Au$  and  $v(x) \in \text{Trop}(X)$ . Hence by the choice of  $A$ ,  $v(x) = u$ .

Actually, this proof shows that points  $x \in X$  with  $v(x) = u$  are Zariski-dense in  $X$ ; this is the main result of [5].

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