

Finite up to symmetry

Jan Draisma
TU Eindhoven

Berkeley Colloquium/RTG Workshop, September 2012

Hilbert's Basis Theorem

David Hilbert (1862-1943)

$$f_1(x_1, \dots, x_n) = 0$$

$$f_2(x_1, \dots, x_n) = 0$$

⋮

reduces to a *finite* system



Hilbert's Basis Theorem

David Hilbert (1862-1943)

$$f_1(x_1, \dots, x_n) = 0$$

$$f_2(x_1, \dots, x_n) = 0$$

⋮

reduces to a *finite* system



Paul Gordan (1837-1912)

*Das ist keine Mathematik,
das ist Theologie!*



Hilbert's Basis Theorem

David Hilbert (1862-1943)

$$f_1(x_1, \dots, x_n) = 0$$

$$f_2(x_1, \dots, x_n) = 0$$

⋮

reduces to a *finite* system



Paul Gordan (1837-1912)

*Das ist keine Mathematik,
das ist Theologie!*



Bruno Buchberger

Gröbner bases, algorithmic methods



(Non-)Noetherian rings

Example

$x_1 = 0, x_2 = 0, x_3 = 0, \dots$

does not reduce

(Non-)Noetherian rings

Example

$x_1 = 0, x_2 = 0, x_3 = 0, \dots$

does not reduce

Emmy Noether (1882–1935)

R Noetherian if

$f_1, f_2, \dots \in R$

$\Rightarrow \exists j$ with $f_j \in Rf_1 + Rf_2 + \dots + Rf_{j-1}$



(Non-)Noetherian rings

Example

$x_1 = 0, x_2 = 0, x_3 = 0, \dots$

does not reduce

Emmy Noether (1882–1935)

R Noetherian if

$f_1, f_2, \dots \in R$

$\Rightarrow \exists j$ with $f_j \in Rf_1 + Rf_2 + \dots + Rf_{j-1}$



$K[x_1, x_2, \dots, x_n]$ Noetherian,

$K[x_1, x_2, \dots]$ not Noetherian,

... but it *is up to symmetry!*

Fundamental Theorem

$$R := K \begin{bmatrix} x_{00} & x_{01} & x_{02} & \cdots \\ \vdots & \vdots & \vdots & \\ x_{k-1,0} & x_{k-1,1} & x_{k-1,2} & \cdots \end{bmatrix}$$

polynomial ring

Fundamental Theorem

$$R := K \begin{bmatrix} x_{00} & x_{01} & x_{02} & \cdots \\ \vdots & \vdots & \vdots & \\ x_{k-1,0} & x_{k-1,1} & x_{k-1,2} & \cdots \end{bmatrix}$$

polynomial ring

$$\text{Inc}(\mathbb{N}) := \{\pi : \mathbb{N} \rightarrow \mathbb{N} \mid \pi(0) < \pi(1) < \dots\}$$

monoid

Fundamental Theorem

$$R := K \begin{bmatrix} x_{00} & x_{01} & x_{02} & \cdots \\ \vdots & \vdots & \vdots & \\ x_{k-1,0} & x_{k-1,1} & x_{k-1,2} & \cdots \end{bmatrix}$$

polynomial ring

$$\text{Inc}(\mathbb{N}) := \{\pi : \mathbb{N} \rightarrow \mathbb{N} \mid \pi(0) < \pi(1) < \dots\}$$

monoid

$\text{Inc}(\mathbb{N})$ acts on R by $\pi x_{ij} = x_{i\pi(j)}$

Fundamental Theorem

$$R := K \begin{bmatrix} x_{00} & x_{01} & x_{02} & \cdots \\ \vdots & \vdots & \vdots & \\ x_{k-1,0} & x_{k-1,1} & x_{k-1,2} & \cdots \end{bmatrix}$$

polynomial ring



$\text{Inc}(\mathbb{N}) := \{\pi : \mathbb{N} \rightarrow \mathbb{N} \mid \pi(0) < \pi(1) < \dots\}$
monoid

$\text{Inc}(\mathbb{N})$ acts on R by $\pi x_{ij} = x_{i\pi(j)}$



Cohen, Aschenbrenner/Hillar/Sullivant

$f_0, f_1, \dots \in R \rightsquigarrow \exists i : \forall j \geq i :$
 $f_j \in R \cdot \text{Inc}(\mathbb{N})f_0 + \dots + R \cdot \text{Inc}(\mathbb{N})f_{i-1}$

$(R \text{ Inc}(\mathbb{N})\text{-Noetherian} \rightsquigarrow \text{Sym}(\mathbb{N})\text{-Noetherian})$



...ist das Theologie?

Equivariant Buchberger algorithm

X infinite set of variables

Π monoid acting on X

\prec well-order on monomials with

$$v \prec w \Rightarrow uv \prec uw, \pi v \prec \pi w$$

...ist das Theologie?

Equivariant Buchberger algorithm

X infinite set of variables

Π monoid acting on X

\prec well-order on monomials with

$$v \prec w \Rightarrow uv \prec uw, \pi v \prec \pi w$$

Definition

$I \subseteq K[X]$ a Π -stable ideal

$B \subseteq I$ is a Π -Gröbner basis

if $\forall f \in I : \exists b \in B, \pi \in \Pi : \text{lm}(\pi b) \mid \text{lm}f$

...ist das Theologie?

Equivariant Buchberger algorithm

X infinite set of variables

Π monoid acting on X

$<$ well-order on monomials with

$$v < w \Rightarrow uv < uw, \pi v < \pi w$$

Definition

$I \subseteq K[X]$ a Π -stable ideal

$B \subseteq I$ is a Π -Gröbner basis

if $\forall f \in I : \exists b \in B, \pi \in \Pi : \text{lm}(\pi b) | \text{lm}f$

Theorem (many people)

\exists a Π -equivariant Buchberger algorithm computing a Π -Gröbner basis, *provided that it terminates*
(and it does for $K[x_{ij} \mid i \in [k], j \in \mathbb{N}]$, $\Pi = \text{Inc}(\mathbb{N})$)

I: Second hypersimplex

Example

variables $y_{ij}, x_i (i, j \in \mathbb{N}, i > j)$

$K[y_{ij}] \rightarrow K[x_i], y_{ij} \mapsto x_i x_j$

$\text{Inc}(\mathbb{N})$ -equivariant; *kernel*?

I: Second hypersimplex

Example

variables $y_{ij}, x_i (i, j \in \mathbb{N}, i > j)$

$K[y_{ij}] \rightarrow K[x_i], y_{ij} \mapsto x_i x_j$

$\text{Inc}(\mathbb{N})$ -equivariant; *kernel?*



De Loera-Sturmfels-Thomas

generated by 2×2 -minors of symmetric matrix y not containing diagonal entries



I: Second hypersimplex

Example

variables $y_{ij}, x_i (i, j \in \mathbb{N}, i > j)$

$K[y_{ij}] \rightarrow K[x_i], y_{ij} \mapsto x_i x_j$

$\text{Inc}(\mathbb{N})$ -equivariant; *kernel?*



De Loera-Sturmfels-Thomas

generated by 2×2 -minors of symmetric matrix y not containing diagonal entries



Computational proof

input $\{y_{10} - x_1 x_0\}$, \prec lex with $y_{ij} \prec x_k$

I: Second hypersimplex

Example

variables $y_{ij}, x_i (i, j \in \mathbb{N}, i > j)$

$K[y_{ij}] \rightarrow K[x_i], y_{ij} \mapsto x_i x_j$

$\text{Inc}(\mathbb{N})$ -equivariant; *kernel?*



De Loera-Sturmfels-Thomas

generated by 2×2 -minors of symmetric matrix y not containing diagonal entries



Computational proof

input $\{y_{10} - x_1 x_0\}$, \prec lex with $y_{ij} \prec x_k$

Output

$x_0 x_1 - y_{10}, x_2 y_{10} - x_1 y_{20}, x_2 y_{10} - x_0 y_{21}, x_1 y_{20} - x_0 y_{21}$
 $x_0^2 y_{21} - y_{20} y_{10}, y_{32} y_{10} - y_{30} y_{21}, y_{31} y_{20} - y_{30} y_{21}$

I: Second hypersimplex

Example

variables $y_{ij}, x_i (i, j \in \mathbb{N}, i > j)$

$K[y_{ij}] \rightarrow K[x_i], y_{ij} \mapsto x_i x_j$

Inc(\mathbb{N})-equivariant; *kernel?*



De Loera-Sturmfels-Thomas

generated by 2×2 -minors of symmetric matrix y not containing diagonal entries



Computational proof

input $\{y_{10} - x_1 x_0\}, \prec \text{lex}$ with $y_{ij} \prec x_k$

Output

$x_0 x_1 - y_{10}, x_2 y_{10} - x_1 y_{20}, x_2 y_{10} - x_0 y_{21}, x_1 y_{20} - x_0 y_{21}$
 $x_0^2 y_{21} - y_{20} y_{10}, y_{32} y_{10} - y_{30} y_{21}, y_{31} y_{20} - y_{30} y_{21}$



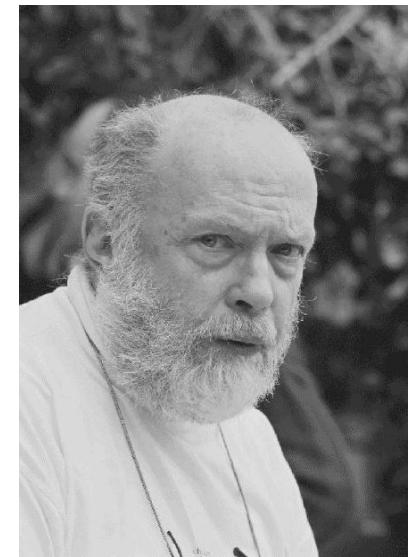
II: Vandermonde relations

Andreas Dress

y_0, y_1, \dots variables

$z_I := \prod_{i,j \in I, i < j} (y_i - y_j)$ for $|I| = k$, fixed

Relations among the z_I finite up to $\text{Sym}(\mathbb{N})$?

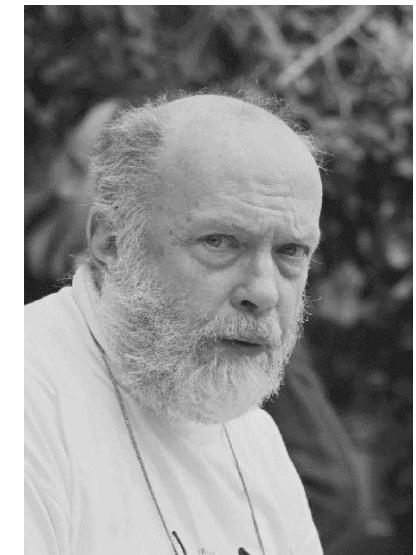


II: Vandermonde relations

Andreas Dress

y_0, y_1, \dots variables

$z_I := \prod_{i,j \in I, i < j} (y_i - y_j)$ for $|I| = k$, fixed



Relations among the z_I finite up to $\text{Sym}(\mathbb{N})$?

Theorem

Yes (at least in characteristic zero).

$$z_I = \det \begin{bmatrix} 1 & \cdots & 1 \\ y_{i_0} & \cdots & y_{i_{k-1}} \\ \vdots & & \vdots \\ y_{i_0}^{k-1} & \cdots & y_{i_{k-1}}^{k-1} \end{bmatrix}$$

satisfy *Plücker relations*.

mod these \rightsquigarrow

invariant ring $K[x_{ij}, i \in [n], j \in \mathbb{N}]^{\text{SL}_n}$ is $\text{Inc}(\mathbb{N})$ -Noetherian \square

Noetherianity for topological spaces

Definition

Π monoid acting on topological space X

$\rightsquigarrow X$ is Π -Noetherian if every chain $X_0 \supseteq X_1 \supseteq \dots$

of Π -stable closed subsets stabilises

Noetherianity for topological spaces

Definition

Π monoid acting on topological space X

$\rightsquigarrow X$ is Π -Noetherian if every chain $X_0 \supseteq X_1 \supseteq \dots$

of Π -stable closed subsets stabilises

Lemma

Π -Noetherianity preserved under images, subsets, finite unions

Noetherianity for topological spaces

Definition

Π monoid acting on topological space X

$\rightsquigarrow X$ is Π -Noetherian if every chain $X_0 \supseteq X_1 \supseteq \dots$

of Π -stable closed subsets stabilises

Lemma

Π -Noetherianity preserved under images, subsets, finite unions

Lemma

Π a group, $Y \subseteq X$ subset stable under subgroup $\Sigma \subseteq \Pi$

\rightsquigarrow if Y is Σ -Noetherian and $X = \Pi Y$ then X is Π -Noetherian

Noetherianity for topological spaces

Definition

Π monoid acting on topological space X

$\rightsquigarrow X$ is Π -Noetherian if every chain $X_0 \supseteq X_1 \supseteq \dots$

of Π -stable closed subsets stabilises

Lemma

Π -Noetherianity preserved under images, subsets, finite unions

Lemma

Π a group, $Y \subseteq X$ subset stable under subgroup $\Sigma \subseteq \Pi$

\rightsquigarrow if Y is Σ -Noetherian and $X = \Pi Y$ then X is Π -Noetherian

Lemma

R a Π -Noetherian K -algebra $\rightsquigarrow \{K\text{-valued points of } R\}$

Π -Noetherian space ($\rightsquigarrow K^{k \times \mathbb{N}}$ is Inc(\mathbb{N})-Noetherian)

III: Bounded-rank tensors

Definition

Rank of $\omega \in V_0 \otimes \cdots \otimes V_{p-1}$ is minimal k in

$$\omega = \sum_{i=0}^{k-1} v_{i0} \otimes \cdots \otimes v_{i,p-1}$$

Border rank is minimal k such that

$$\omega \in \overline{\{\text{rank } \leq k \text{ tensors}\}}$$

III: Bounded-rank tensors

Definition

Rank of $\omega \in V_0 \otimes \cdots \otimes V_{p-1}$ is minimal k in

$$\omega = \sum_{i=0}^{k-1} v_{i0} \otimes \cdots \otimes v_{i,p-1}$$



Border rank is minimal k such that

$$\omega \in \overline{\{\text{rank } \leq k \text{ tensors}\}}$$

Theorem (with Jochen Kuttler)

Border-rank $\leq k$ tensors are characterised by polynomial equations of bounded degree independent of p or the V_i

III: Bounded-rank tensors

Definition

Rank of $\omega \in V_0 \otimes \cdots \otimes V_{p-1}$ is minimal k in

$$\omega = \sum_{i=0}^{k-1} v_{i0} \otimes \cdots \otimes v_{i,p-1}$$



Border rank is minimal k such that
 $\omega \in \overline{\{\text{rank } \leq k \text{ tensors}\}}$

Theorem (with Jochen Kuttler)

Border-rank $\leq k$ tensors are characterised by polynomial equations of bounded degree independent of p or the V_i

Flattening and contracting:

$$v_0 \otimes \cdots \otimes v_{p-1} \mapsto (v_0 \otimes \cdots \otimes v_{q-1}) \otimes (v_q \otimes \cdots \otimes v_{p-1})$$

$$v_0 \otimes \cdots \otimes v_{p-1} \mapsto x(v_{p-1}) \cdot v_0 \otimes \cdots \otimes v_{p-2}, \quad x \in V_{p-1}^*$$

Intermezzo: infinite-dimensional tensors

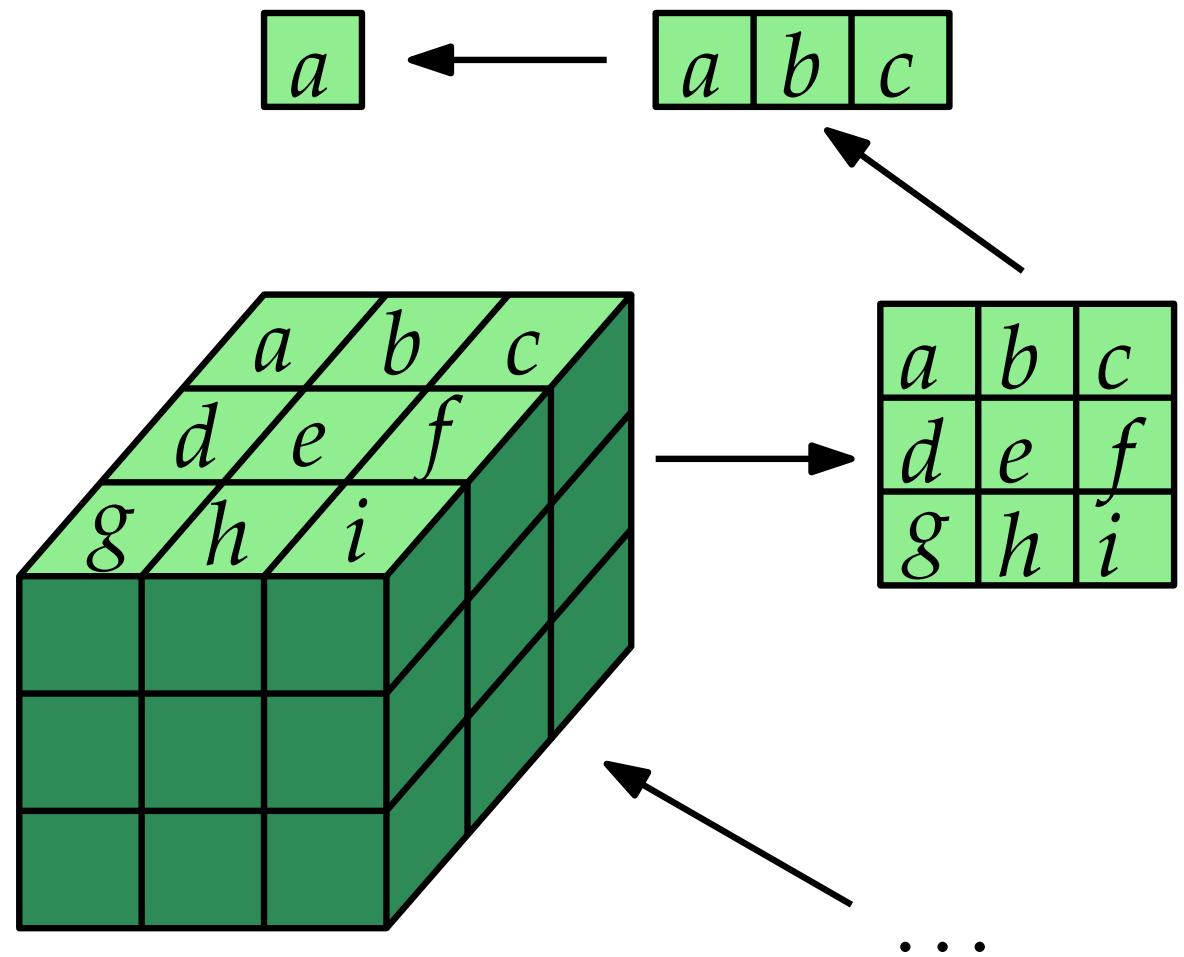
A wrong-titled movie...



Intermezzo: infinite-dimensional tensors

A wrong-titled movie...

... and an infinite-dimensional tensor



Bounded-rank tensors, proof sketch

$X_p \subseteq V^{\otimes p}$ border rank $\leq k$

$Y_p \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$

$x_0 \in V^*$

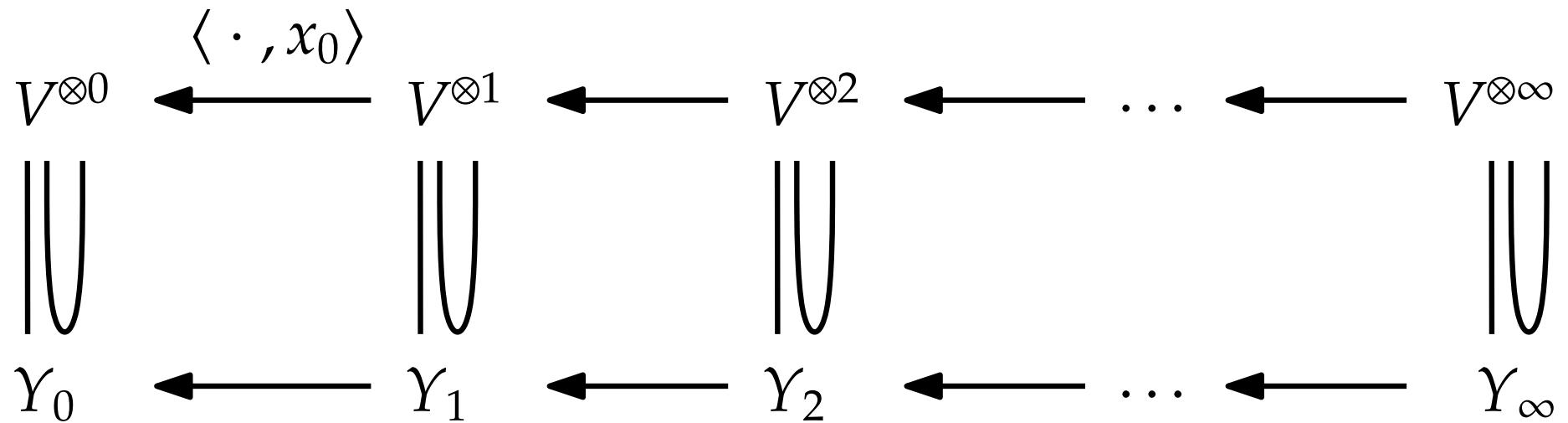


Bounded-rank tensors, proof sketch

$X_p \subseteq V^{\otimes p}$ border rank $\leq k$

$Y_p \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$

$x_0 \in V^*$

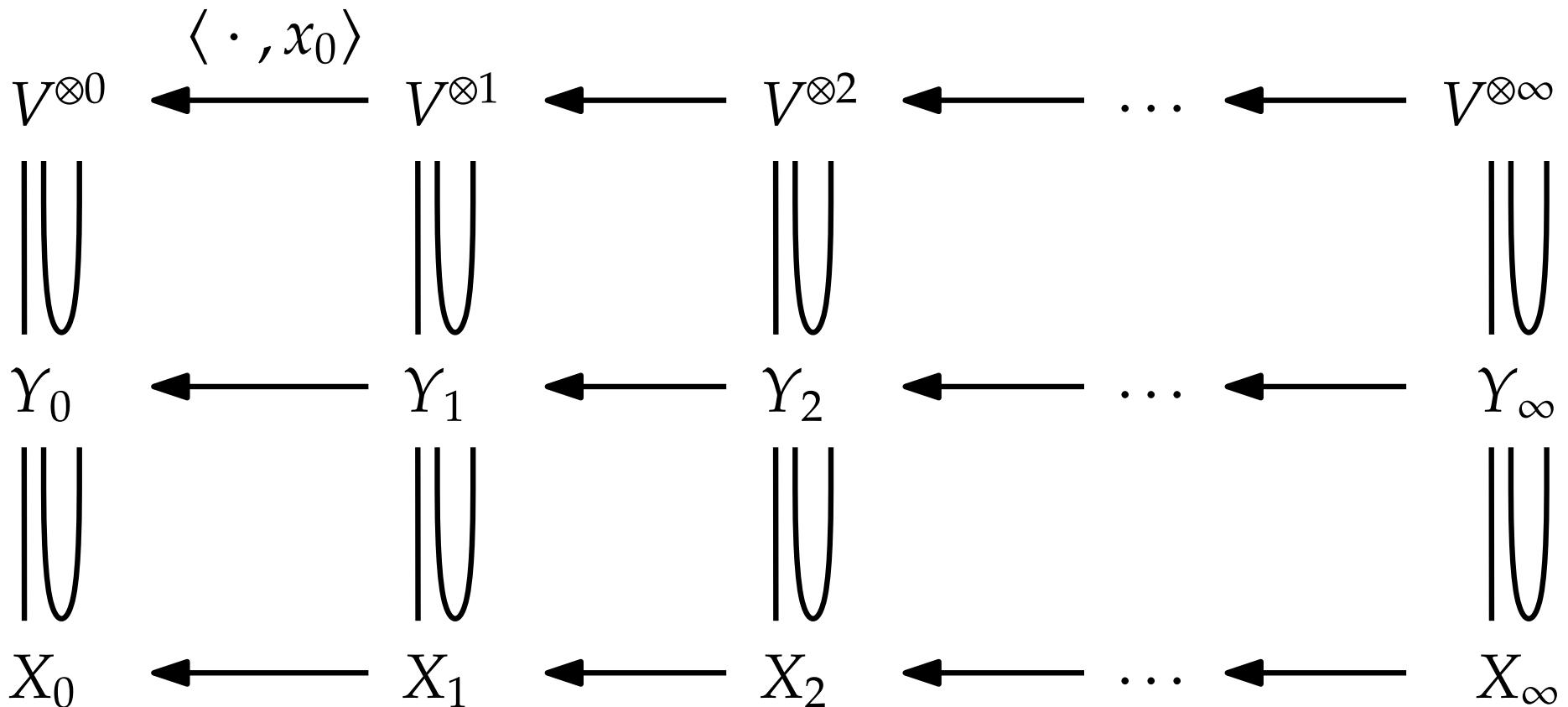


Bounded-rank tensors, proof sketch

$X_p \subseteq V^{\otimes p}$ border rank $\leq k$

$Y_p \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$

$x_0 \in V^*$

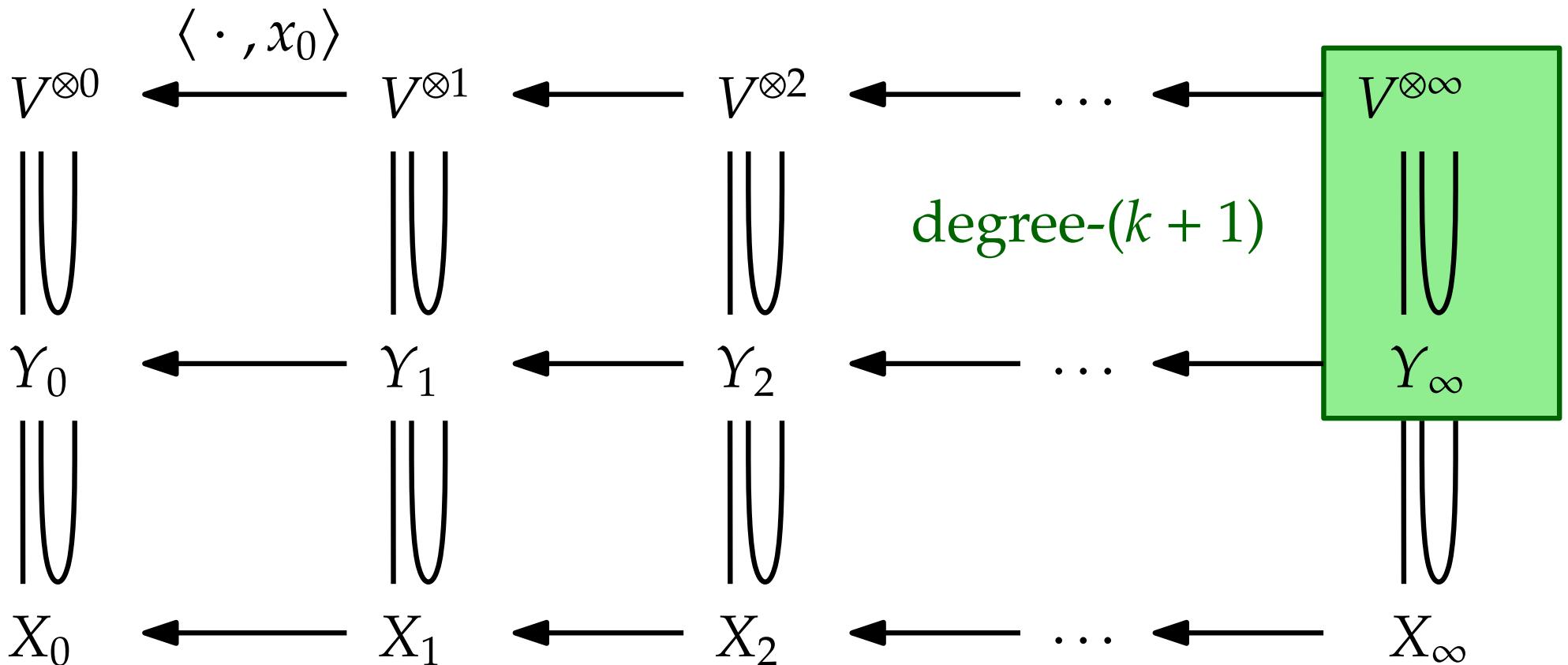


Bounded-rank tensors, proof sketch

$X_p \subseteq V^{\otimes p}$ border rank $\leq k$

$Y_p \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$

$x_0 \in V^*$

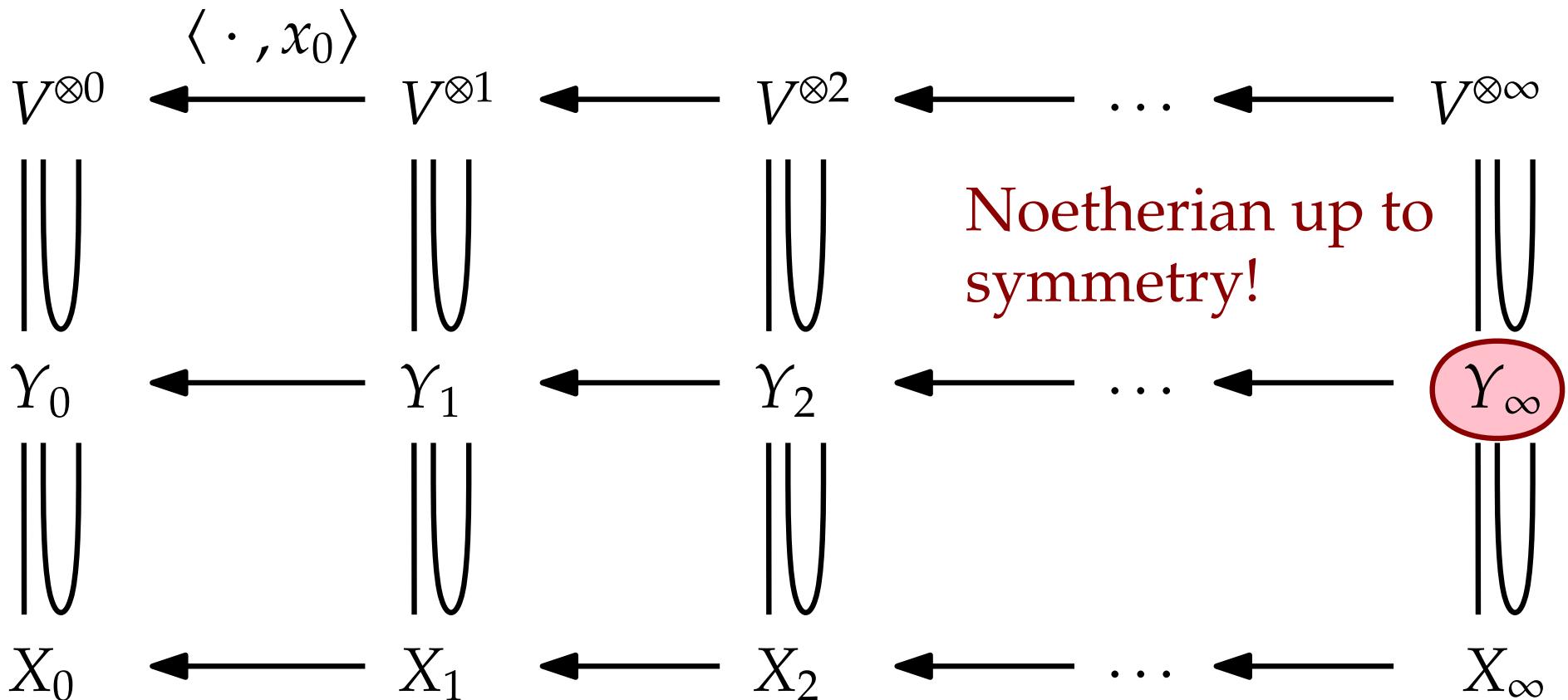


Bounded-rank tensors, proof sketch

$X_p \subseteq V^{\otimes p}$ border rank $\leq k$

$Y_p \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$

$x_0 \in V^*$

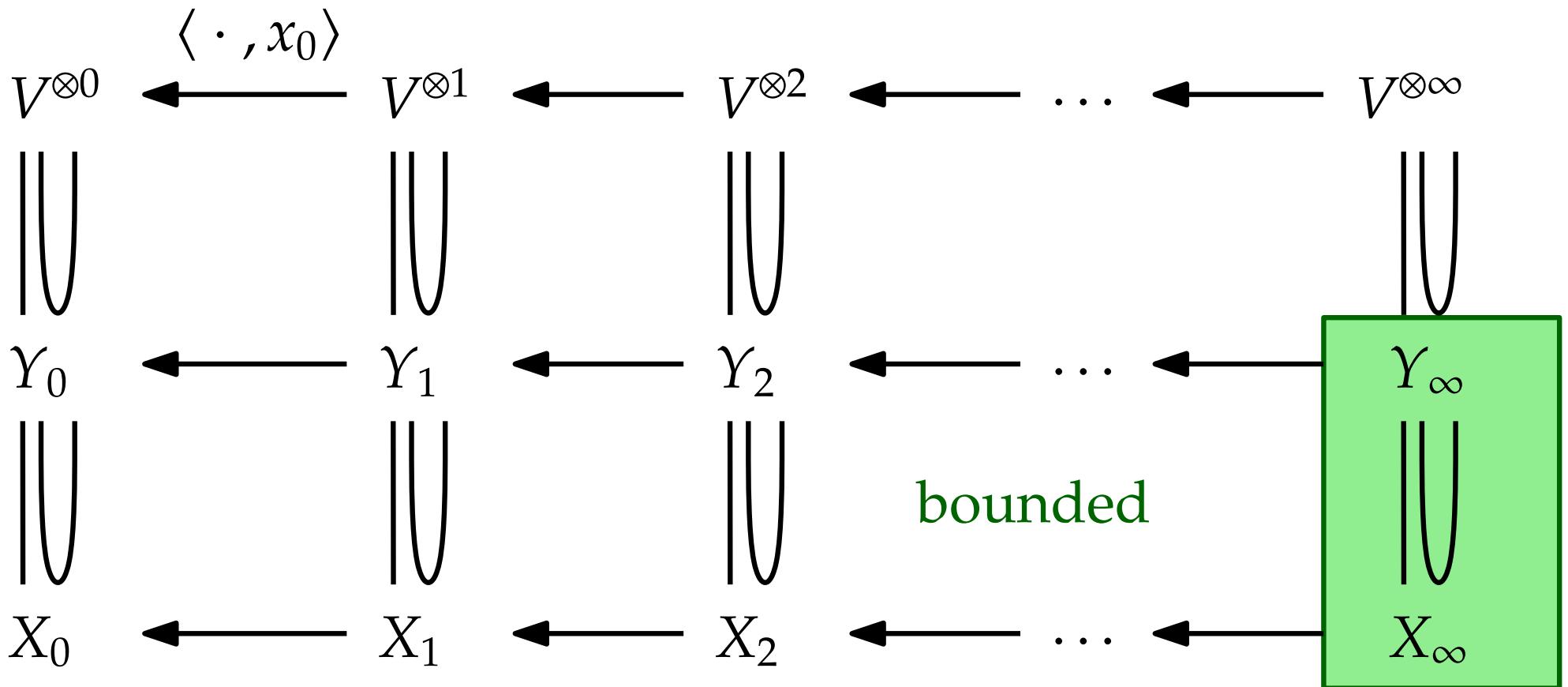


Bounded-rank tensors, proof sketch

$X_p \subseteq V^{\otimes p}$ border rank $\leq k$

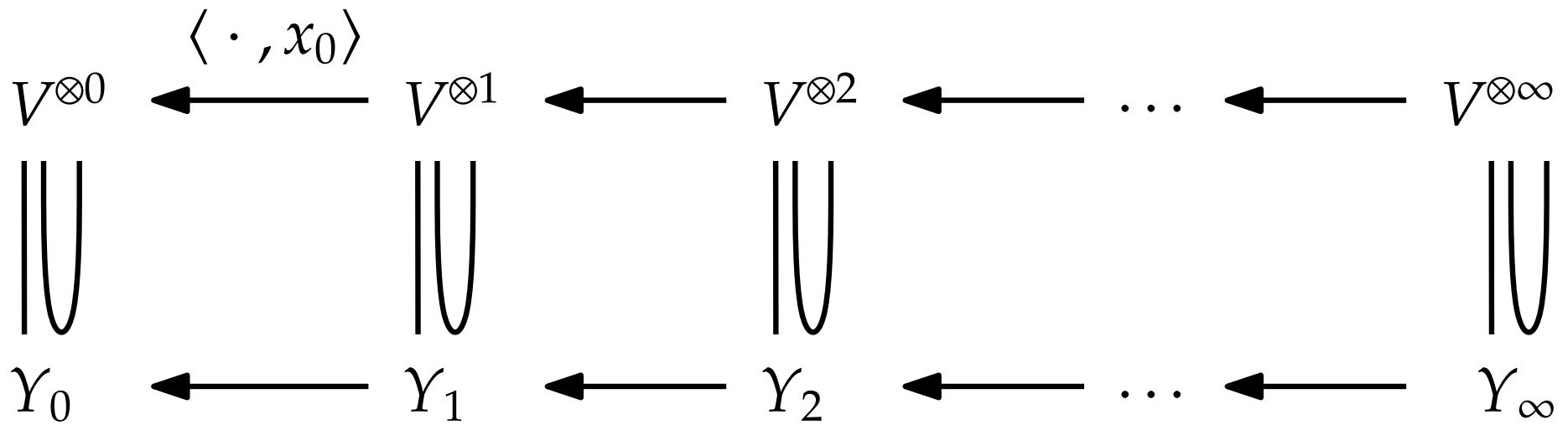
$Y_p \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$

$x_0 \in V^*$



Y_∞ Noetherian (artist's impression)

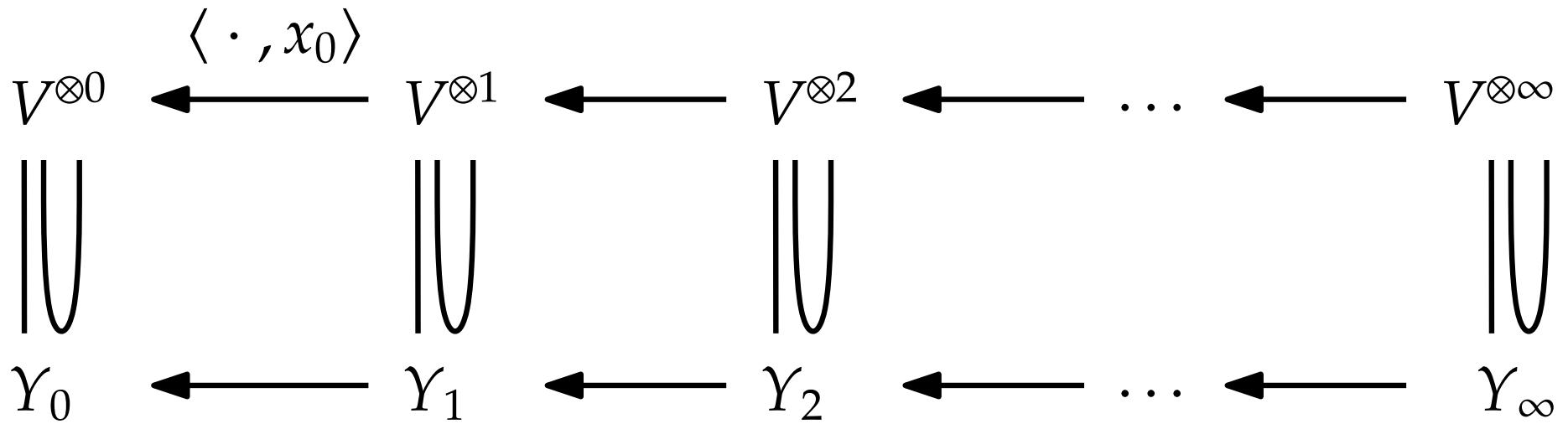
$Y_p^{\leq k} \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$



$G := \bigcup_{n \in \mathbb{N}} \text{Sym}(n) \times \text{GL}(V)^n$ acts on $V^{\otimes \infty}, Y_\infty^{\leq k}$

γ_∞ Noetherian (artist's impression)

$\gamma_p^{\leq k} \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$

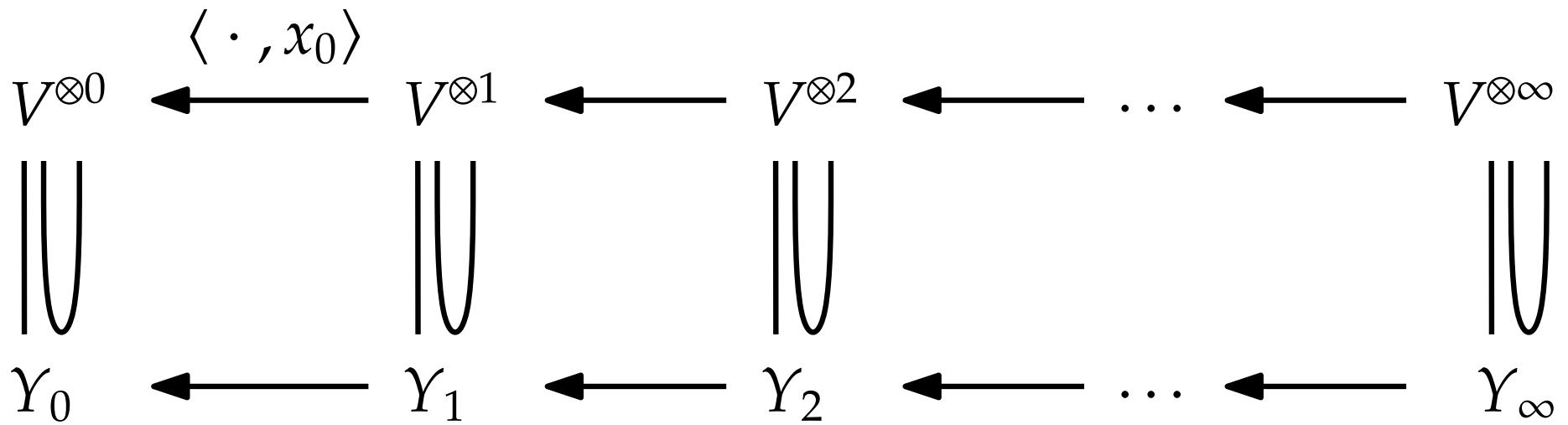


$G := \bigcup_{n \in \mathbb{N}} \mathrm{Sym}(n) \times \mathrm{GL}(V)^n$ acts on $V^{\otimes \infty}, \gamma_\infty^{\leq k}$

$\gamma_\infty^{\leq k}$

γ_∞ Noetherian (artist's impression)

$\gamma_p^{\leq k} \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$



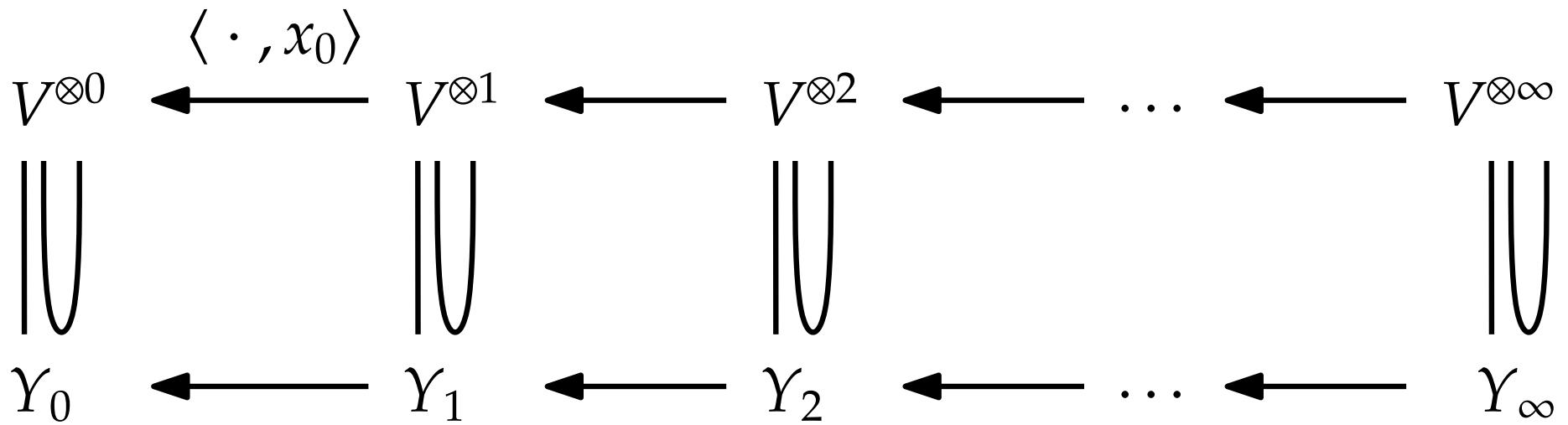
$G := \bigcup_{n \in \mathbb{N}} \mathrm{Sym}(n) \times \mathrm{GL}(V)^n$ acts on $V^{\otimes \infty}, \gamma_{\infty}^{\leq k}$

$\gamma_{\infty}^{\leq k}$



γ_∞ Noetherian (artist's impression)

$\gamma_p^{\leq k} \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$



$G := \bigcup_{n \in \mathbb{N}} \mathrm{Sym}(n) \times \mathrm{GL}(V)^n$ acts on $V^{\otimes \infty}, \gamma_{\infty}^{\leq k}$

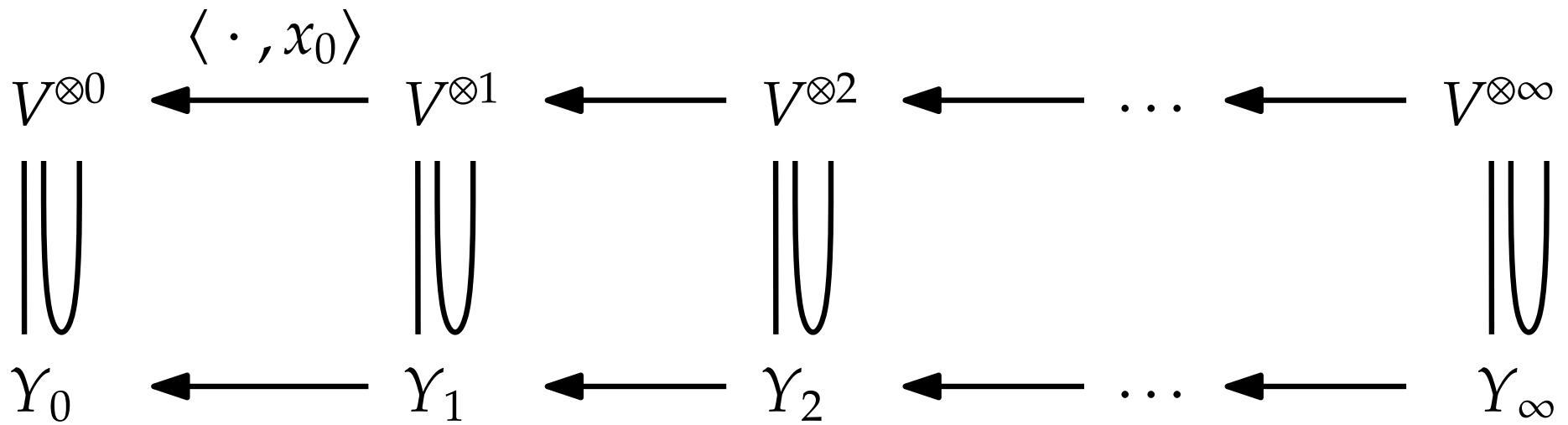
$\gamma_{\infty}^{\leq k}$

$\gamma_{\infty}^{\leq k-1}$

$Z_1 \cong K^{k_1 \times \mathbb{N}}$

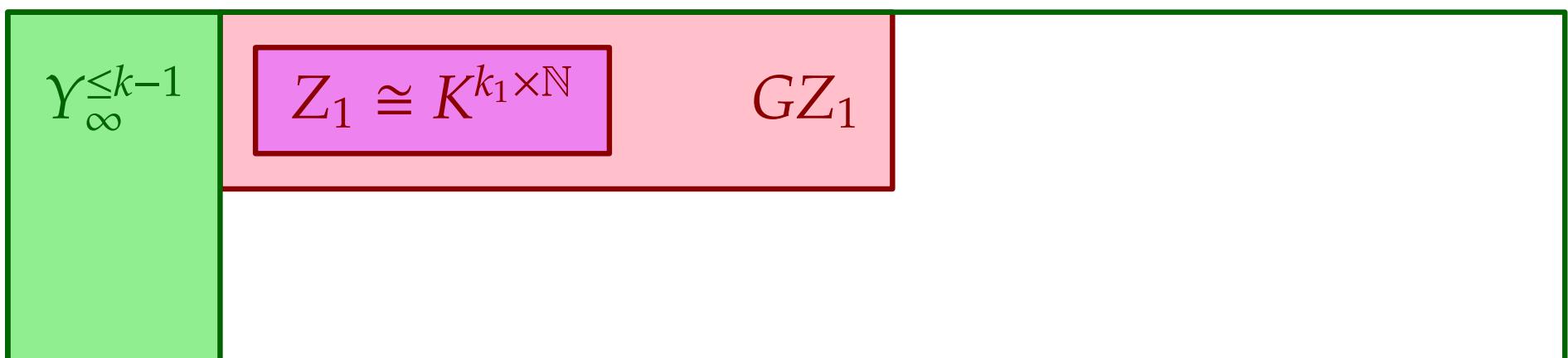
γ_∞ Noetherian (artist's impression)

$\gamma_p^{\leq k} \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$



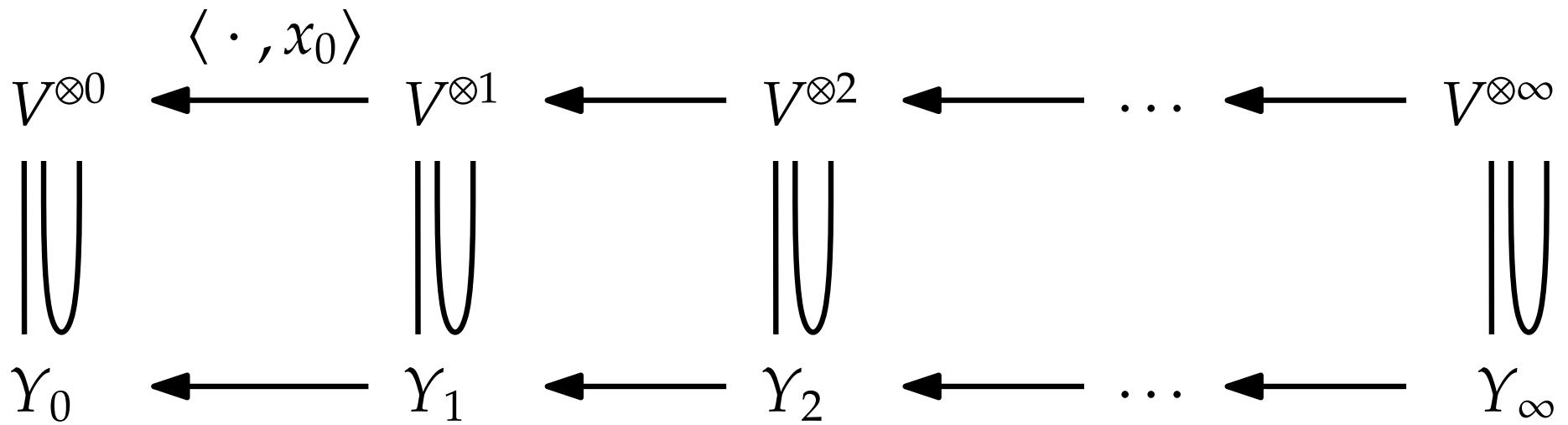
$G := \bigcup_{n \in \mathbb{N}} \mathrm{Sym}(n) \times \mathrm{GL}(V)^n$ acts on $V^{\otimes \infty}, \gamma_{\infty}^{\leq k}$

$\gamma_{\infty}^{\leq k}$



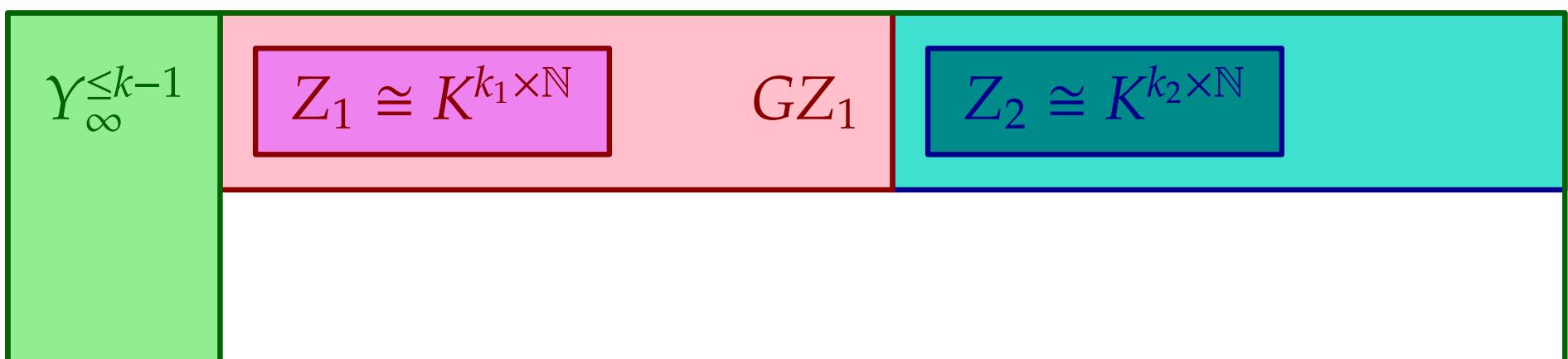
γ_∞ Noetherian (artist's impression)

$\gamma_p^{\leq k} \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$



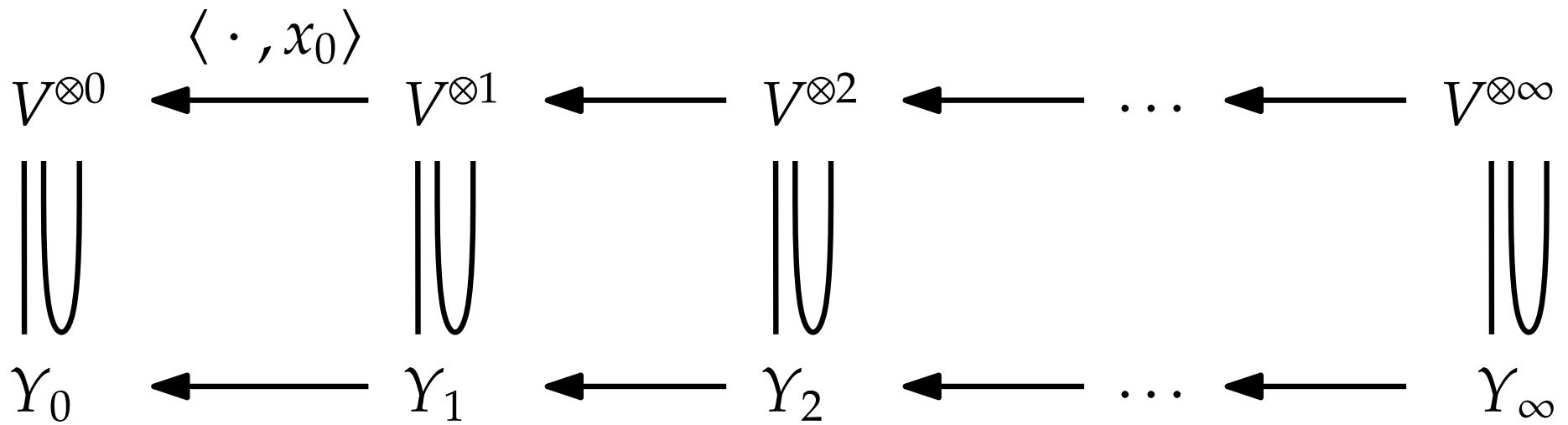
$G := \bigcup_{n \in \mathbb{N}} \mathrm{Sym}(n) \times \mathrm{GL}(V)^n$ acts on $V^{\otimes \infty}, \gamma_{\infty}^{\leq k}$

$\gamma_{\infty}^{\leq k}$

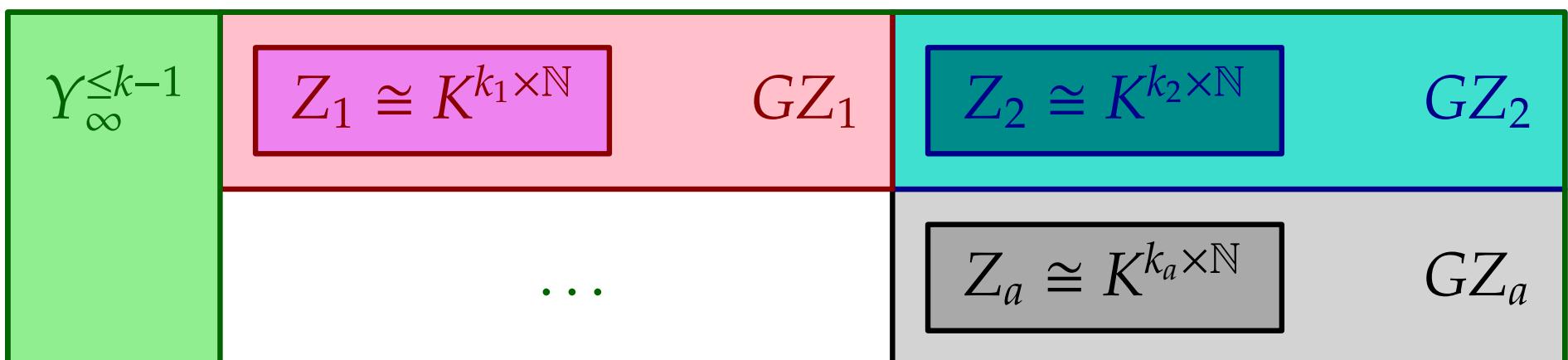


γ_∞ Noetherian (artist's impression)

$\gamma_p^{\leq k} \subseteq V^{\otimes p}$ all flattenings have rank $\leq k$



$G := \bigcup_{n \in \mathbb{N}} \text{Sym}(n) \times \text{GL}(V)^n$ acts on $V^{\otimes \infty}, \gamma_{\infty}^{\leq k}$



Further topics

Phylogenetic tree models
defined in bounded degree, independent
of the tree (with Rob Eggermont)



Further topics

Phylogenetic tree models

defined in bounded degree, independent
of the tree (with Rob Eggermont)



Syzygies of Segre (Andrew Snowden)

For each k , the Segre Embedding

$$\mathbb{P}V_1 \times \cdots \times \mathbb{P}V_p \rightarrow \mathbb{P}(V_1 \otimes \cdots \otimes V_p)$$

has finitely many types of syzygies



Further topics

Phylogenetic tree models

defined in bounded degree, independent
of the tree (with Rob Eggermont)



Syzygies of Segre (Andrew Snowden)

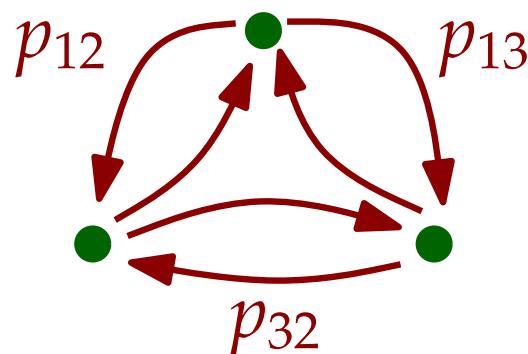
For each k , the Segre Embedding

$$\mathbb{P}V_1 \times \cdots \times \mathbb{P}V_p \rightarrow \mathbb{P}(V_1 \otimes \cdots \otimes V_p)$$

has finitely many types of syzygies



Markov chains (Ruriko Yoshida et al)



$$\text{Prob}(1232) = p_{12}p_{23}p_{32}$$



Relations among path probabilities stabilise as $T \rightarrow \infty$?

Further topics?

Skew-symmetric tensors

Inverse Grassmannian

Infinite wedge

Matroid minor conjecture

Symmetric tensors

Inverse Veronese

Infinite symmetric power

Computational issues

...



Further topics?

Skew-symmetric tensors

Inverse Grassmannian

Infinite wedge

Matroid minor conjecture

Symmetric tensors

Inverse Veronese

Infinite symmetric power

Computational issues

...

Many infinite-dimensional varieties can be described with finitely many equations up to symmetry.

