

Matroids: algebraicity, duality, and valuations

Jan Draisma
Universität Bern and
Eindhoven University of Technology

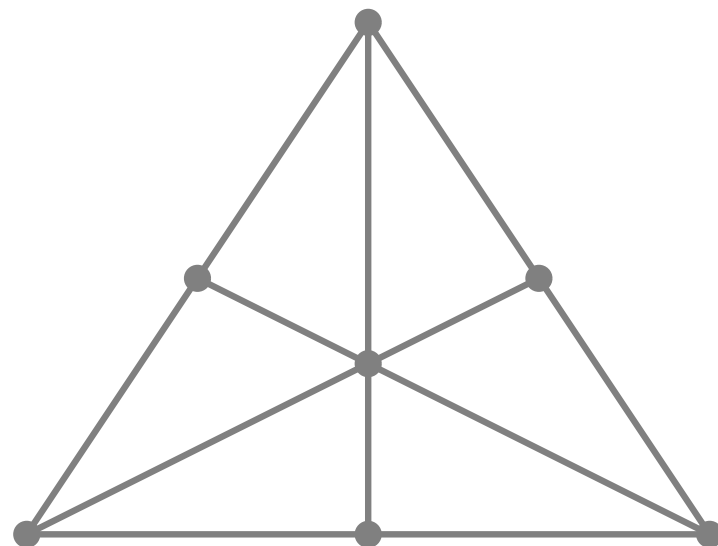
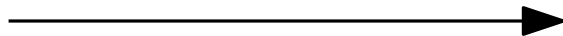
Berlin, June 2019

Algebraic matroids and Frobenius flocks, Bollen-D-Pendavingh
Matroids over one-dimensional groups, Bollen-Cartwright-D

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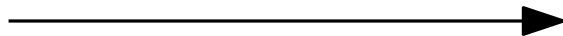
From matrix to matroid I

2 - 3

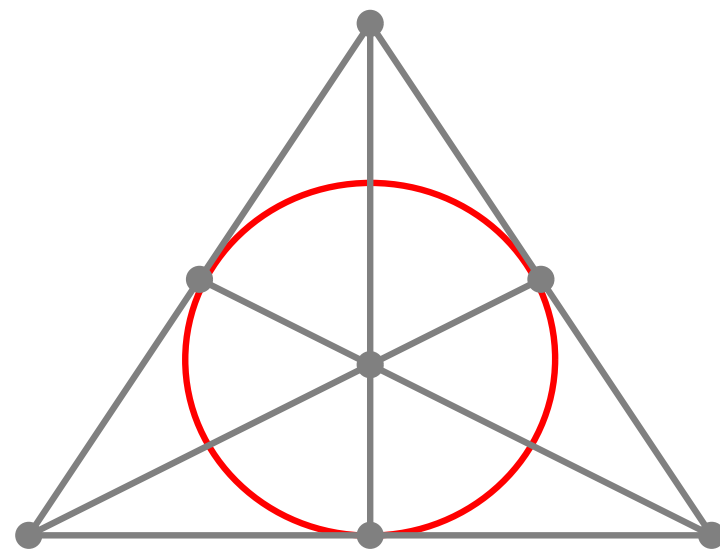
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char $\neq 2$



char = 2



(non-)Fano matroid

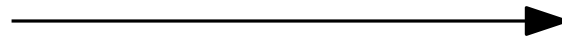
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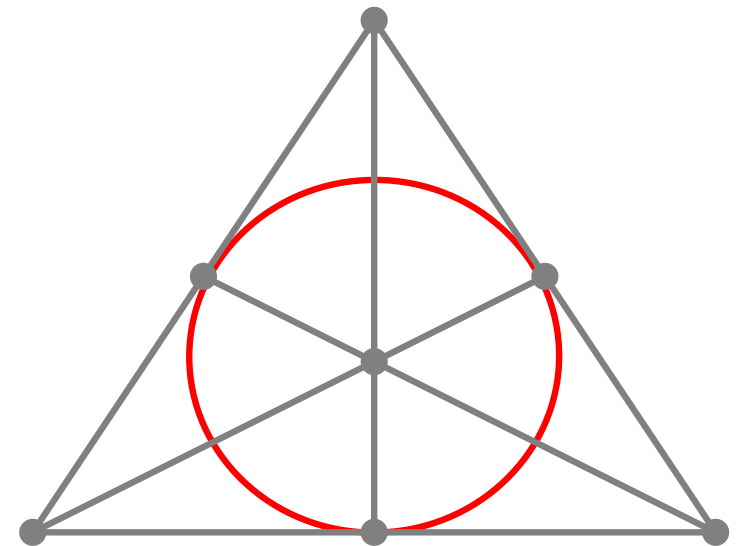
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(non-)Fano matroid

This collection $\mathcal{I} \subseteq 2^{[n]}$ is nonempty, downward closed, and satisfies $\forall I, J \in \mathcal{I} : |J| > |I| \Rightarrow \exists j \in J \setminus I : I + j \in \mathcal{I}$; these are the defining properties of a *matroid* on $[n]$.

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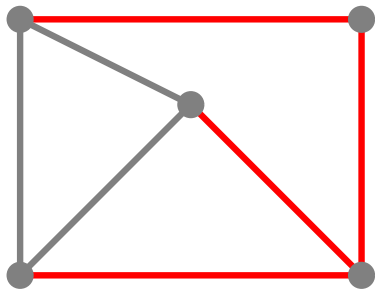
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Graphical matroids: edge set $[n]$,
independent = contains no cycle.

a basis:



The *greedy algorithm for minimal-cost spanning tree* carries over precisely to matroids.

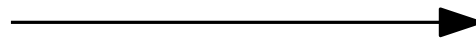
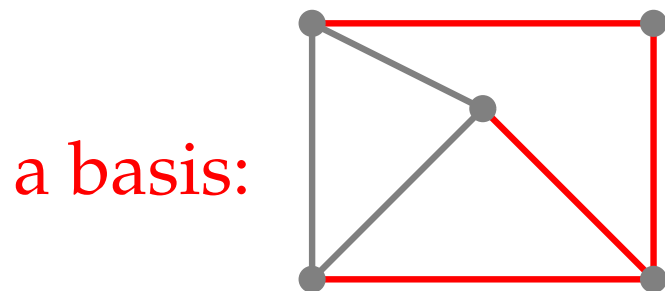
Well-understood breeds of matroids

3 - 4

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Every graphical matroid is linear (over every field).

The ugly ducks among matroids

4 - 1

Definition: Let $L \supseteq K$ be a field extension and $x_1, \dots, x_n \in L$. Set $\mathcal{I} := \{I \subseteq [n] : (x_i)_{i \in I} \text{ algebraically independent over } K\}$. Such a matroid is called *algebraic* (over K).

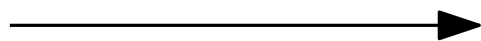
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$$x_1 = t_1$$

$$x_2 = t_2$$

$$x_3 = t_3$$

$$x_4 = t_2 + t_3$$

$$x_5 = t_1 + t_3$$

$$x_6 = t_1 + t_2$$

$$x_7 = t_1 + t_2 + t_3$$

$$L = K(t_1, t_2, t_3)$$

Why study algebraic matroids?

5 - 1

Generic completion

K algebraically closed

$X \subseteq K^n$ irreducible closed subvariety

$\mathcal{I} := \{I \subseteq [n] : \text{any generic } p \in K^I \text{ can be completed to } \tilde{p} \in X\}$

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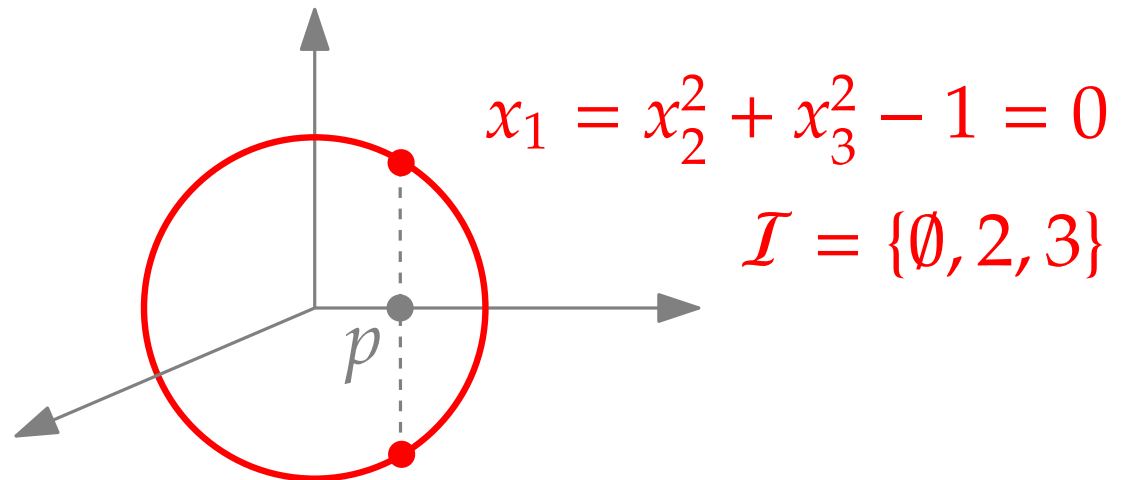
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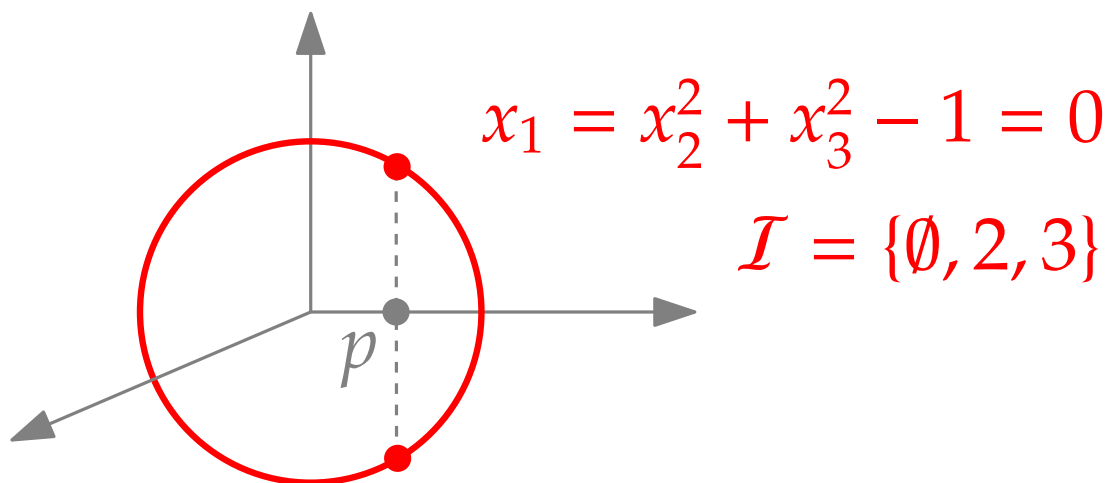
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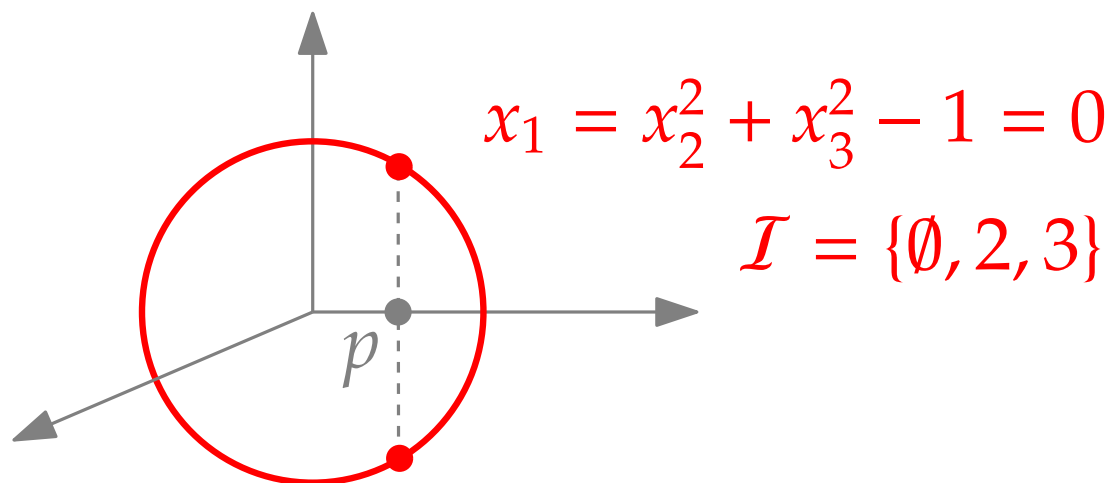
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Problem: Given X and $I \subseteq [n]$, decide whether $I \in \mathcal{I}$.

Can be solved by Buchberger's algorithm for *elimination*, but this is not efficient.

Case study: generic low-rank matrix completion 6-1

$$[n] = [\ell] \times [m], \quad \ell, m \geq k, \quad K^{\ell \times m} \supseteq X := \{A \mid \text{rk}(A) \leq k\}$$

Generic rank- k completion problem: On input $I \subseteq [\ell] \times [m]$, decide whether a generic choice of $(a_{ij})_{(i,j) \in I}$ can be completed to a matrix of rank $\leq k$.

Case study: generic low-rank matrix completion 6-2

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Rank $k = 1$: yes iff the bipartite graph with edges I has no cycles $\rightsquigarrow \mathcal{I}$ is the graphical matroid of $K_{\ell,m}$; independence is easy.

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Open problem: is there a poly time deterministic algorithm that on input $S \subseteq \mathbb{Q}^n$ decides if S can be partitioned by a hyperplane into two linearly independent sets?

Linearising algebraic matroids

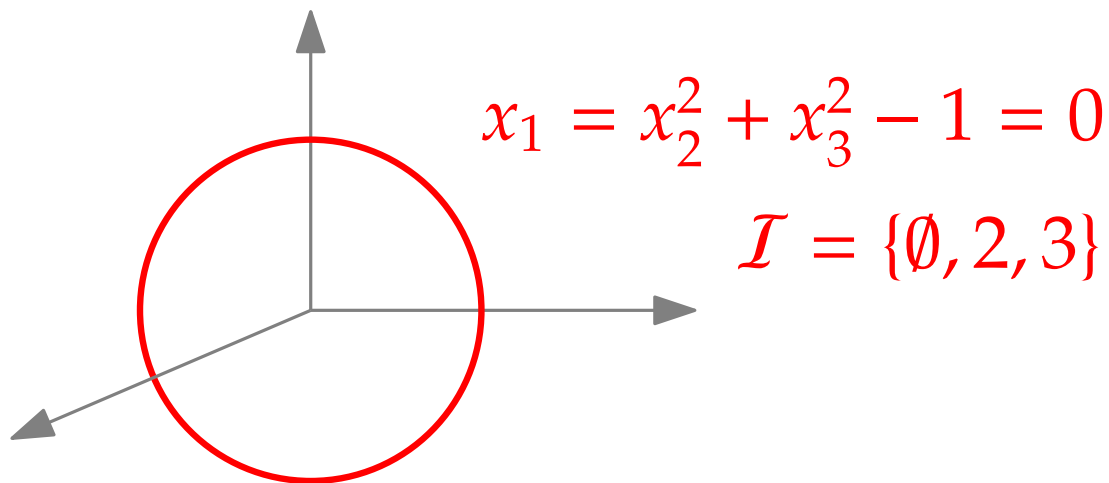
7 - 1

$X \subseteq K^n$ irreducible, and $q \in X$ smooth \rightsquigarrow the *tangent space* $T_q X$ defines a matroid on $[n]$ with $\mathcal{I}(T_q X) \subseteq \mathcal{I}(X)$.

Linearising algebraic matroids

7-2

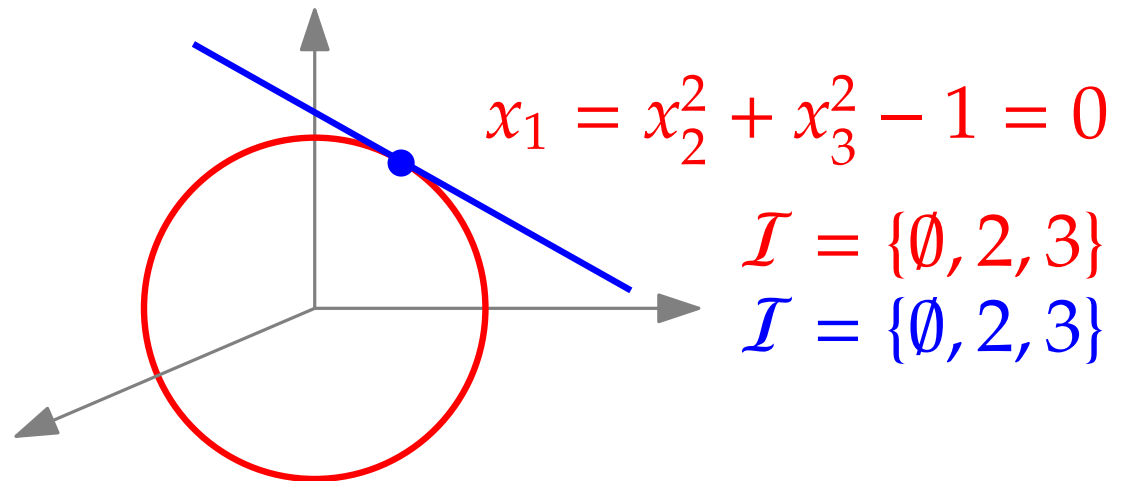
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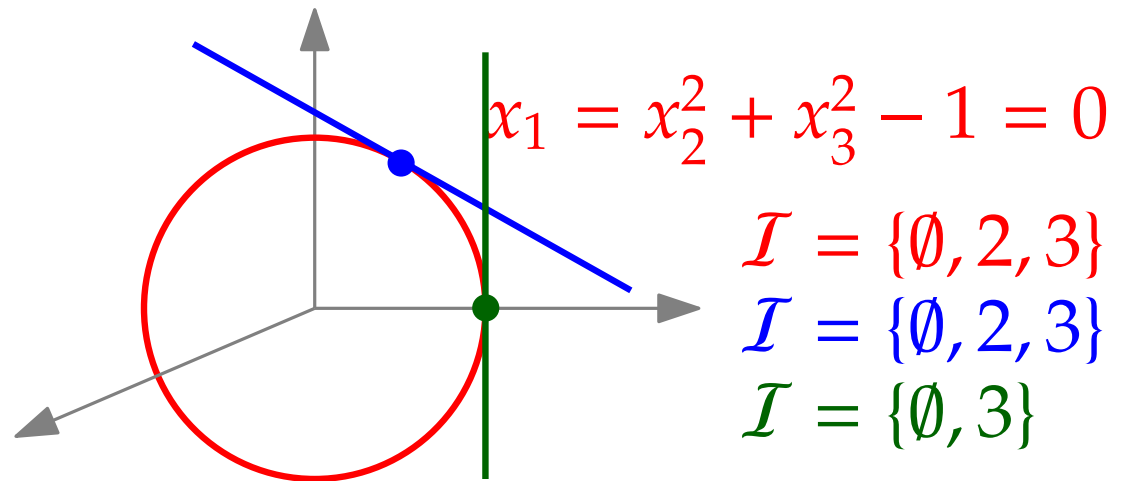
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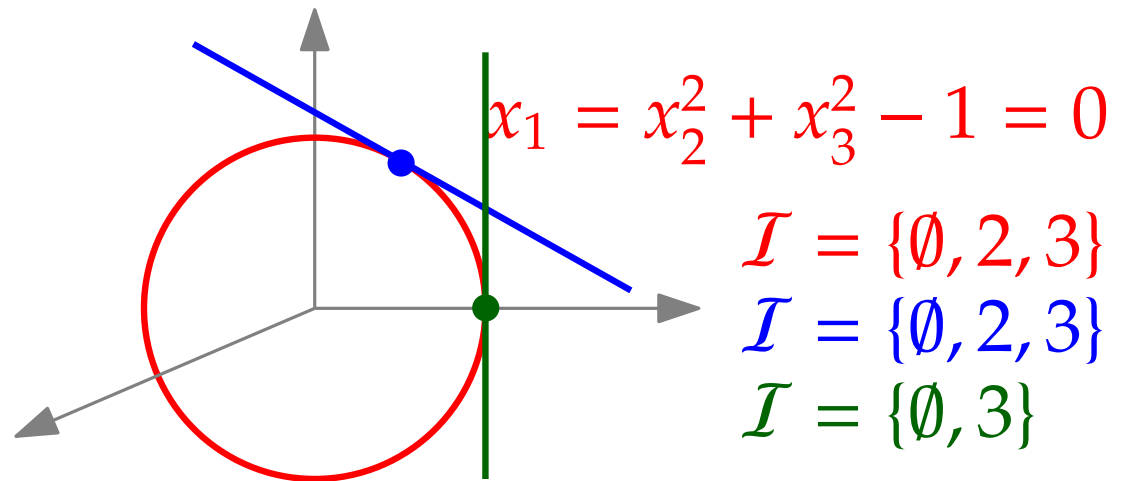


Linearising algebraic matroids

7-5

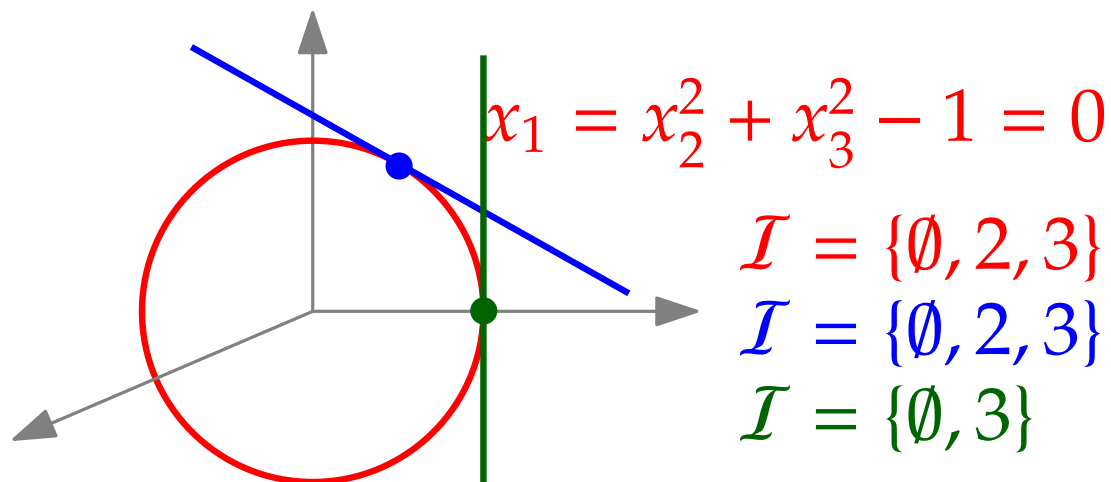
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Consequences

- Algebraic matroids in characteristic 0 are linear (**Ingleton**)
- Sometimes there is an efficient probabilistic algorithm for the generic completion problem: sample $q \in X$, compute $T_q X$, and use Gaussian elimination to check $I \in \mathcal{I}(T_q X)$.

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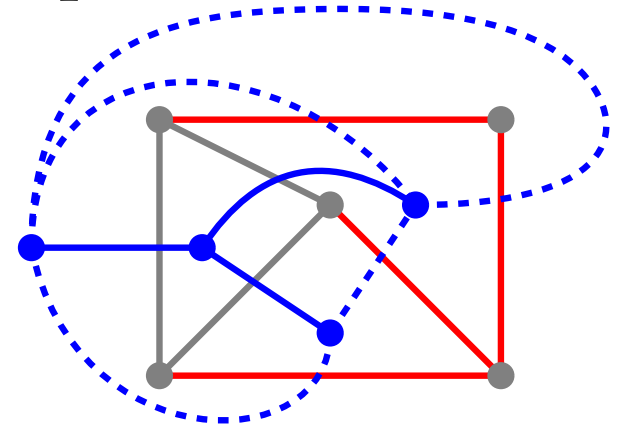
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The dual of a *planar graph* matroid is graphical:



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For *linearity*, this boils down to testing whether a system of polynomial equations has a solution, and Buchberger's algorithm can do this.

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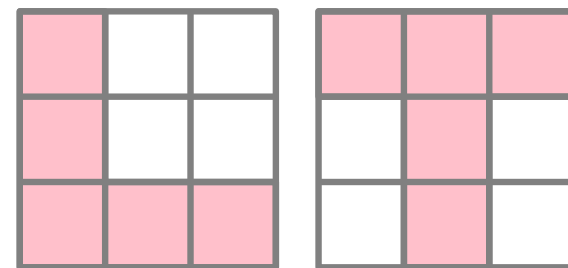
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Example (Alfter-Hochstättler):

the *tic-tac-toe* matroid on $[3] \times [3]$ has as bases all quintuples *except* all 4 L's and all 4 T's. Is it algebraic?? Its dual is *not*.



From matrix to matroid (valuation) II

10 - 1

K a field, $v : K \rightarrow \overline{\mathbb{R}} := \mathbb{R} \cup \{\infty\}$ a *non-Archimedean valuation*:
 $v^{-1}(\infty) = \{0\}$, $v(ab) = v(a) + v(b)$, and $v(a + b) \geq \min\{v(a), v(b)\}$

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$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \\ 1 & 8 \end{bmatrix}$$

$$K = \mathbb{Q}, v = 2\text{-adic}$$



$$\begin{aligned} \mu(\{1, 2\}) &= \mu(\{1, 3\}) \\ &= \mu(\{2, 3\}) = \mu(\{2, 4\}) \\ &= \mu(\{3, 4\}) = 0 \\ \mu(\{1, 4\}) &= 3 \end{aligned}$$

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This *matroid valuation* $\mu : \binom{[n]}{d} \rightarrow \overline{\mathbb{R}}$ satisfies: $\mu \neq \infty$ and
 $\forall B, B', i \in B \setminus B' \exists j \in B' \setminus B : \mu(B) + \mu(B') \geq \mu(B - i + j) + \mu(B' + i - j)$.
Matroid valuations play the role of linear spaces in trop geometry.

Definition (Bollen-D-Pendavingh, Cartwright)

K an algebraically closed field of characteristic $p > 0$

$L = K(x_1, \dots, x_n) \supseteq K$ of transcendence degree d

$\rightsquigarrow \mu : \binom{[n]}{d} \rightarrow \overline{\mathbb{R}}$ defined as $\mu(I) := \log_p [L : K((x_i)_{i \in I})^{\text{sep}}]$

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Theorem (B-D-P): if the Lindström valuation is trivial, i.e. $\exists \alpha \in \mathbb{Z}^n$: for all bases $\mu(B) = \sum_{i \in B} \alpha_i$, then the algebraic matroid is also linear.

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Corollary: Matroids, such as Fano, that admit only trivial valuations are algebraic over K iff they are linear over K .

Bollen used enhancements of this for ruling out algebraicity of many matroids on ≤ 9 elements.

Matroids over one-dimensional groups

12 - 1

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G a *one-dimensional algebraic group* over K

\leadsto then $G = (K, +)$ or $G = (K^*, \cdot)$ or $G =$ an elliptic curve.

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Construction: a closed, connected subgroup $X \subseteq G^n \rightsquigarrow$
 $\mathcal{I} := \{I \subseteq [n] : X \rightarrow G^I \text{ is surjective}\}$ is an algebraic matroid.

Questions: Lindström valuation? Is the dual also algebraic?

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Key to the solution: the *endomorphism ring* \mathbb{E} of G :

- $K[F]$ with $Fa = a^p F$ if $G = (K, +)$;
- \mathbb{Z} if $G = (K^*, \cdot)$; and
- \mathbb{Z} or an order in an imaginary quadratic number field or in a quaternion algebra if $G =$ an elliptic curve.

In all cases, \mathbb{E} is an Ore ring, hence generates a skew field Q .

The closed subgroup $X \subseteq G^n$ is the image of a map $G^d \rightarrow G^n$ given by a rank- d matrix $A \in \mathbb{E}^{n \times d}$, and is uniquely determined by the column span of A , a right Q -subspace of Q^n .

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The ring \mathbb{E} comes with a valuation: $v(\alpha)$ is the degree of inseparability of $\alpha : G \rightarrow G$; this extends to $v : Q \rightarrow \overline{\mathbb{R}}$.

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Theorem (B-Cartwright-D)

The Lindström valuation of the matroid defined by X maps $I \subseteq [n]$ of size d to $v(\text{Diedonné determinant of } A[I])$.

The closed subgroup $X \subseteq G^n$ is the image of a map $G^d \rightarrow G^n$ given by a rank- d matrix $A \in \mathbb{E}^{n \times d}$, and is uniquely determined by the column span of A , a right Q -subspace of Q^n .

The ring \mathbb{E} comes with a valuation: $v(\alpha)$ is the degree of inseparability of $\alpha : G \rightarrow G$; this extends to $v : Q \rightarrow \overline{\mathbb{R}}$.

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Theorem (B-C-D)

The dual matroid is also that of a closed subgroup X^\vee of G^n .

$\text{Colspace}(A)^\perp$ is a *left* subspace, but fortunately $Q \cong Q^{\text{op}}$.

Definition (Dress-Wenzel)

If $\mu : \binom{[n]}{d} \rightarrow \overline{\mathbb{R}}$ is a valuation, then $\mu' : \binom{[n]}{n-d} \rightarrow \overline{\mathbb{R}}$,
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This notion is compatible with the dual of a linear matroid, but *not* with the construction of X' above: take $G = (K, +)$, $\mathbb{E} = K[F]$ and

$$A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \\ 1 & F \end{bmatrix} \longrightarrow A^\perp = \begin{bmatrix} 1 & 1 & -1 & 0 \\ 1 & F & 0 & -1 \end{bmatrix} \longrightarrow A^\vee = \begin{bmatrix} 1 & 1 \\ 1 & F^{-1} \\ -1 & 0 \\ 0 & -1 \end{bmatrix}$$

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$$\mu(14) + \mu(23) - \mu(13) - \mu(24) = 1 + 0 - 0 - 0 = 1 \text{ but}$$

$$\mu^\vee(23) + \mu^\vee(14) - \mu^\vee(24) - \mu^\vee(13) = -1 + 0 - 0 - 0 = -1$$

Theorem (B-C-D): The set of Lindström valuations of algebraic matroids is *not* closed under duality.

Proof sketch: via a universality construction of Evans-Hrushovski, we construct a matroid M^\vee s.t. every algebraic realisation of M^\vee is equivalent to one from a subgroup $X^\vee \subseteq G^n$ for some one-dimensional algebraic group G , but such that the Lindström valuation of X is not the dual to that of X^\vee . Then the dual of the Lindström valuation of X is not a Lindström valuation. \square

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Thank you!